

PLEASE NOTE - We welcome public comment on the items on the following agenda. To ensure maximum opportunity for participation, speakers representing themselves may speak for up to 2 minutes each, and those representing groups may speak for up to 4 minutes (1 speaker per group). Speakers' comments may address only items considered at today's meeting. Materials relating to matters that are scheduled for discussion in open session will be available at the meeting and will be posted on ESD's website prior to the meeting in accordance with the Public Officers Law

**NEW YORK STATE URBAN DEVELOPMENT CORPORATION
d/b/a Empire State Development**

Empire State Development works to promote business investment and growth that leads to job creation and prosperous communities across New York State

Meeting of the Directors

Thursday

December 19, 2013 – 9:30 a.m.

PROPOSED AGENDA

FOR CONSIDERATION

I. CORPORATE ACTION

- A. Approval of Minutes of the November 18, 2013 Directors' Meeting

II. DISCRETIONARY PROJECTS

NORTH COUNTRY REGION

- A. Plattsburgh (North Country Region - Clinton County) – Swarovski Lighting Capital – Empire State Economic Development Fund – General Development Financing (Capital Grant) - Findings and Determinations Pursuant to Sections 16-m and 10 (g) of the Act; Authorization to Adopt the Proposed General Project Plan; Authorization to Make a Grant and to Take Related Actions
- B. Saranac Lake (North Country Region – Franklin County) – Trudeau Institute Working Capital – Empire State Economic Development Fund – General Development Financing (Working Capital Grant) - Findings and Determinations Pursuant to Sections 16-m and 10 (g) of the Act; Authorization to Make a Grant and to Take Related Actions

II. DISCRETIONARY PROJECTS - Continued

CAPITAL REGION

- C. Maplewood (Capital Region - Albany County) – Comfortex Capital – Empire State Economic Development Fund – General Development Financing (Convertible Loan) - Findings and Determinations Pursuant to Sections 16-m and 10 (g) of the Act; Authorization to Adopt the Proposed General Project Plan; Authorization to Make a Loan and to Take Related Actions

MID-HUDSON REGION

- D. Lake Katrine (Mid-Hudson Region – Ulster County) – Bread Alone MAP Capital – Empire State Economic Development Fund – General Development Financing (Capital Grant) - Findings and Determinations Pursuant to Sections 16-m and 10 (g) of the Act; Authorization to Adopt the Proposed General Project Plan; Authorization to Make a Grant and to Take Related Actions; Determination of No Significant Effect on the Environment

DISCRETIONARY PROJECTS CONSENT CALENDAR

- E. Discretionary Projects Consent Calendar – Findings and Determinations Pursuant to Sections 16-m and 10 (g) of the Act; Authorization to Adopt the Proposed General Project Plan; Authorization to Make Grants and to Take Related Actions; Determination of No Significant Effect on the Environment

General Development Financing Projects

- A. Oneida Molded Plastics Capital – Oneida Molded Plastics, LLC (Madison County) - \$100,000
 B. Fort Drum Army Compatible Use Buffer Program Capital – Tug Hill Tomorrow Land Trust (Jefferson & Lewis County) - \$100,000
 C. Mohawk Valley EDGE - Working Capital – Mohawk Valley EDGE (Oneida County) - \$55,000

III. REGIONAL COUNCIL AWARDS

CAPITAL REGION

- A. Regional Council Award – Priority Project – Troy (Capital Region – Rensselaer County) – The United Group of Companies Capital – Regional Council Capital Fund (Capital Grant) - Findings and Determinations Pursuant to Section 10 (g) of the Act; Authorization to Adopt the Proposed General Project Plan; Authorization to Make a Grant and to Take Related Actions; Adoption of Findings Pursuant to the State Environmental Quality Review Act

III. **REGIONAL COUNCIL AWARDS - Continued**

NORTH COUNTRY REGION

- B. Regional Council Award – Priority Project – Newtown Falls, Carthage (North Country Region – St. Lawrence, Jefferson, Lewis Counties) – St. Lawrence IDA Capital – Regional Council Capital Fund (Capital Grant) – Findings and Determinations Pursuant to Section 10 (g) of the Act; Authorization to Adopt the Proposed General Project Plan; Authorization to Make a Grant and to Take Related Actions
- C. Regional Council Award – Priority Project – DeKalb Junction (North Country Region – St. Lawrence County) – North Country Pastured Capital - Regional Council Capital Fund (Capital Grant) - Findings and Determinations Pursuant to Section 10 (g) of the Act; Authorization to Adopt the Proposed General Project Plan; Authorization to Make a Grant and to Take Related Actions

MOHAWK VALLEY REGION

- D. Regional Council Award – Johnstown (Mohawk Valley Region – Fulton County) – Gloversville-Johnstown Wastewater Treatment Capital – Regional Council Capital Fund (Capital Grant) - Findings and Determinations Pursuant to Section 10 (g) of the Act; Authorization to Adopt the Proposed General Project Plan; Authorization to Make a Grant and to Take Related Actions; Determination of No Significant Effect on the Environment

WESTERN NEW YORK REGION

- E. Regional Council Award – Priority Project – Olean (Western New York Region - Cattaraugus County) – East State Street Reconstruction Capital – Regional Council Capital Fund (Capital Grant) - Findings and Determinations Pursuant to Section 10 (g) of the Act; Authorization to Adopt the Proposed General Project Plan; Authorization to Make a Grant and to Take Related Actions; Determination of No Significant Effect on the Environment
- F. Regional Council Award – Silver Creek (Western New York Region – Chautauqua County) – S. Howes Capital – Economic Development Purposes Fund (Capital Grant) - Findings and Determinations Pursuant to Section 10 (g) of the Act; Authorization to Adopt the Proposed General Project Plan; Determination of No Significant Effect on the Environment

LONG ISLAND REGION

- G. Regional Council Award – Calverton (Long Island Region - Suffolk County) – Long Island Farm Bureau Member Services Capital – Economic Development Purposes Fund (Working Capital Grant) - Findings and Determinations Pursuant to Section 10 (g) of the Act; Authorization to Make a Grant and to Take Related Actions

III. REGIONAL COUNCIL AWARDS - Continued

SOUTHERN TIER REGION

- H. Regional Council Award – Priority Project – Norwich (Southern Tier Region – Chenango County) – Sunrise Family Farms Capital – Empire State Economic Development Fund – General Development Financing (Capital Grant) - Findings and Determinations Pursuant to Sections 16-m and 10 (g) of the Act; Authorization to Adopt the Proposed General Project Plan; Authorization to Make a Grant and to Take Related Actions

REGIONAL COUNCIL AWARD PROJECTS CONSENT CALENDAR

- I. Regional Council Award Projects Consent Calendar – Findings and Determinations Pursuant to Section 10 (g) of the Act; Authorization to Adopt the Proposed General Project Plan; Authorization to Make a Grant and to Take Related Actions
- A. Bo-Mer Plastics Capital (Cayuga County) - \$100,000

REGIONAL COUNCIL AWARDS – CONNECT NY BROADBAND PROGRAM CONSENT CALENDAR

- J. Regional Council Awards – Connect NY Broadband Program Consent Calendar – Findings and Determinations Pursuant to Section 10 (g) of the Act; Authorization to Adopt the Proposed General Project Plans; Authorization to Make Grants and to Take Related Actions

NY Works

- A. Open Access Fiber Network – Yates County - (Yates County) - \$2,407,049
- B. Tompkins and Cayuga Ubiquitous Last Mile Coverage – Clarity Connect, Inc. (Various Counties) - \$2,216,000
- C. Schroon Lake Next Generation Broadband – Slic Network Solutions, Inc. (Essex County) - \$2,162,656
- D. Parish Broadband – New Visions Communications (Oswego County) - \$1,999,584
- E. MTC Broadband Build Out – MTC Cable (Various Counties) - \$1,800,000
- F. Belmont North Next Generation Broadband – Slic Network Solutions, Inc. (Franklin County) - \$1,012,366
- G. Lyon Mountain Broadband - Slic Network Solutions, Inc. (Clinton County) - \$976,426
- H. Southern Tier Broadband – Southern Tier West Development Foundation (Various Counties) - \$672,452

Regional Council Capital Fund

- I. Long Lake Next Generation Broadband – Slic Network Solutions - \$1,370,306

IV. NON-DISCRETIONARY PROJECTS

LOCAL ASSISTANCE – HURRICANE IRENE – TROPICAL STORM LEE MITIGATION

- A. Local Assistance – Hurricane Irene – Tropical Storm Lee Flood Mitigation (Capital Grants) – Findings and Determinations Pursuant to Section 10 (g) of the Act; Authorization and Adopt the General Project Plans; Authorization to Make a Grant and to Take Related Actions

Local Assistance-Hurricane Irene-Tropical Storm Lee Flood Mitigation (Executive)

- A. Clinton County – Hurricane Irene - Tropical Storm Lee Flood Mitigation Capital (Clinton County) - \$305,000

NON-DISCRETIONARY PROJECTS CONSENT CALENDAR

- B. Non-Discretionary Project - Authorization to Make Grants and to Take Related Actions

Local Assistance (Senate)

- A. Fort Drum Army Compatible Use Buffer Program Capital – Tug Hill Tomorrow Land Trust (Lewis County) - \$400,000

Capital Projects Fund – Economic Development/Other (Senate)

- B. HFA-Red Lion Apartments Capital – New York State Housing Finance Agency (Westchester County) - \$1,500,000

V. ADMINISTRATIVE ACTIONS

- A. Procurement of Bloomberg L.P. Service – Authorization to Enter into a Contract with Bloomberg L.P. to Provide a Bloomberg Terminal and to Take Related Actions
- B. Procurement of: Legal Services - Authorization to Enter into a Contract with Sive, Paget & Riesel P.C. for Environmental Law Legal and Consulting Services and to Take Related Actions
- C. Small Business Revolving Loan Fund Program – Request to Reallocate Funds – Authorization to Reallocate Funds; Authorization to Reallocate Program Loan Funds; Authorization to Take Related Actions
- D. Statewide – New York State Innovation Venture Capital Fund – Authorization to Adopt and Promulgate Regulations; Authorization to Take Related Actions

VI. INFORMATION

- A. Quarterly Report on Procurement Commitments to certified Minority and Women Business Enterprises (MWBES) – Second Quarter of Fiscal Year 2013-2014
- B. President's Report (Oral)