

(Statutory authority: Public Authorities Law § 3102-d; Executive Law Art. 10-B)
Regional High Technology Development Organizations

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Section 5901.1 Purpose.

The purpose of this Part is to set forth the methods and procedures governing the New York State Office of Science, Technology and Academic Research program of support for regional high technology development organizations.

5901.2 Definitions.

For the purpose of this Part:

(a) The term office means the New York State Office of Science, Technology and Academic Research.

(b) The term regional high technology development organization means any not-for-profit corporation, or other nonprofit organization, association or agency which is organized for the purpose of the promotion, attraction, stimulation, development and expansion of science and technology-oriented economic activity in a particular region of the State or in one or more counties or cities.

(c) The term regional organization criteria means those specific and desirable characteristics which, in the aggregate, form the base for an effective organizational structure.

(d) The term eligible activities means those activities for which program support from the office may be provided to regional high technology development organizations.

5901.3 Grant awards.

The office may award, on a competitive basis, grants to match funds expended by regional high technology development organizations for the purposes set forth herein and in requests for proposals issued by the office.

5901.4 Proposal requirements.

(a) A regional high technology development organization shall submit to the office a written proposal in response to a request for proposals requesting funds from the office.

(b) The proposal shall include the following information:

(1) a description of the regional high technology development organization, including:

(i) the history of the organization;

(ii) the structure of the organization, e.g., corporation membership, association, agency;

(iii) the mission or purpose;

(iv) the governing body, committee structure and current memberships;

- (v) the capability and capacity to achieve its stated purpose; and
- (vi) the sources and amounts of revenue, committed and projected, to undertake the mission;
- (2) a description of the specific economic development activities and anticipated economic outcomes for which support from the office is requested;
- (3) certifications regarding the availability and source of matching funds to be committed to the activities described in the proposal;
- (4) a short- and longer-range plan of strategies and actions, including provisions for the development of an accessible information/data base on the region, and a high technology marketing strategy;
- (5) a description of the region's current high technology private sector base, including a description of the involvement of large and small firms;
- (6) a description of the region's educational resources which relate to and are active in the high technology development effort; and
- (7) a description of the region's ability to support the high technology development, including:
 - (i) available natural resources;
 - (ii) physical infrastructure resources; and
 - (iii) labor force availability;
- (8) a description of the region's challenges with respect to effectively supporting high technology economic development activities and the applicant's proposed strategy to address such challenges; and
- (9) such other information as required in the request for proposals issued by the office.

5901.5 Eligible activities.

The following activities are generally those for which matching funds may be provided to regional high technology development organizations:

- (a) sponsor and conduct conferences and studies, collect and disseminate information, and issue periodic reports relating to scientific and technological research, development and education in the State;
- (b) prepare and periodically issue a register of scientific and technological research facilities in the State;
- (c) provide direct business and technical assistance to small and emerging science and technology-oriented businesses in order to strengthen and expand their operations, and facilitate their applying for Federal research grants, and State or Federal procurement contracts, including providing information on the availability of such grants and contracts;
- (d) collect and disseminate information on financial, technical, marketing management and other services available to small and emerging science and technology-oriented businesses on a free or for-hire basis from universities, private for-profit businesses, and nonprofit organizations;
- (e) establish a focal point for the dissemination of information regarding inventions for the benefit of inventors interested in having their ideas developed and commercialized for entrepreneurs interested in the commercialization of new products and processes; and
- (f) other activities set forth in Public Authorities Law §3102-d and the request for proposals issued by the office as approved by the office.

5901.6 Award selection process and designation.

- (a) The office shall designate regional technology development centers in regions throughout the state. Centers shall be designated for a period not greater than five years through a competitive selection process. The office reserves the right to reject any or all proposals. There shall be no limit on the number of times a center may be redesignated.

(b) The office shall review, on an annual basis, the performance of each designated regional technology development center. Criteria shall include, but need not be limited to, the number of jobs created or retained, the number and types of companies assisted, and the impact of such assistance on the companies' competitive position.

5901.7 (Reserved)

5901.8 Regional organization criteria.

The office shall evaluate the regional high technology development organizational data included in the submitted proposal based on the following criteria and such additional criteria as set forth in the request for proposals issued by the office:

- (a) the degree and completeness of information contained in the proposal;
- (b) the nature, relevance and importance of the proposed activities to the region;
- (c) the anticipated economic benefits to the State and the region to be derived from the proposed activities described in the proposal;
- (d) the degree to which the membership of the regional high technology development organization is representative of the region's business, industry, labor, academic and government sectors, including strong representation of the high technology private sector;
- (e) the commitment of time and energy of the principals of the regional high technology development organization;
- (f) the operational readiness of the regional high technology development organization to carry out the activities described in the proposal; and
- (g) the organization's prior experience and demonstrated results in performing activities identical or similar to those identified in the proposal.

5901.9 (Reserved)

5901.10 Award monitoring.

The office shall require in the agreement between the office and the regional high technology development organization receiving the award that:

- (a) periodic progress reports shall be submitted to the office; and
- (b) periodic financial reports shall be submitted to the office, including reports concerning the equivalent expenditure of matching funds.

5901.11 Severability.

If any provision of this Part or the application thereof to any person or circumstance is judged invalid by a court of competent jurisdiction, such judgment shall not affect or impair the validity of the other provisions of this Part or the application thereof to other persons and circumstances.