



**DIVISION OF MINORITY AND WOMEN'S
BUSINESS DEVELOPMENT**

**Annual Report
Fiscal Year 2015-2016**

The Division of Minority and Women’s Business Development

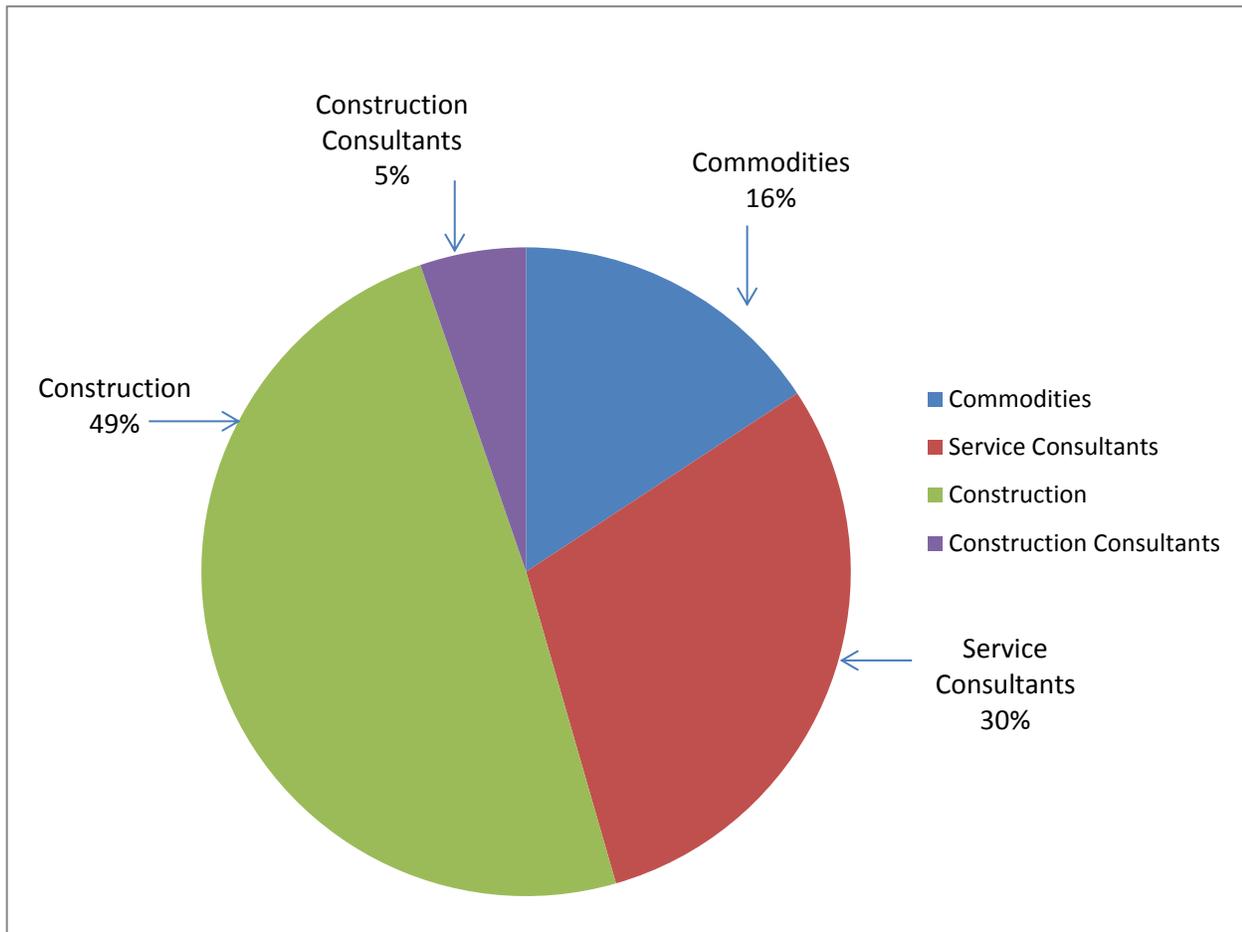
Executive Law Article 15-A (§§ 310-317) governs requirements for the participation of minority- and women-owned business enterprises (“MWBEs”), minority group members and women in New York State contracting. The objective of Article 15-A is to promote equality of economic opportunities for MWBEs, minority group members and women, and to eliminate barriers to their participation in state contracting.

The Division of Minority and Women’s Business Development (“DMWBD” or the “Division”) oversees the statewide MWBE program operated by “state agencies” (which includes, inter alia, state agencies and public authorities) as defined by Article 15-A. The Division is charged with three primary functions: (1) to encourage and assist state agencies that are engaged in contracting activities to award a fair share of state contracts to MWBEs; (2) to review applications by businesses seeking certification as an MWBE and to maintain a directory of certified MWBEs; and (3) to promote the business development of MWBEs through education and outreach to agencies and MWBEs.

MWBE Utilization

	FY15-16
Statewide MWBE utilization percentage ¹	25.10%
Total agency and authority spending ¹	\$7,571,887,887
Total MWBE Utilization ¹	\$ 1,900,872,162
Total Minority Business Enterprise (MBE) Utilization ¹	\$ 887,283,116
Total Women Business Enterprise (WBE) Utilization ¹	\$ 1,013,589,045

Breakdown of Utilization of MWBEs by Industry²



Waiver and Violation Summary FY2015-2016

Number of Waivers Requested:	1366
Number of Waivers Granted:	1157 ^a
Number of Contract Violations:	36 ^b
Monetary Sanctions/Damages from Violations:	\$765,610

^a Waivers were granted due to a lack of MWBE firms available to meet goals

^b Due to contractor failure to meet good faith standard

FY2015-2016 MWBE Utilization

Agency Name	Agency Expenditure	MBE Utilization	WBE Utilization	MWBE Utilization	MWBE %
Adirondack Park Agency	124,194.00	25,412.02	44,276.82	69,688.84	56.11%
Agriculture and Markets	1,289,147.00	319,368.55	145,961.23	465,329.78	36.10%
Agriculture and New York State Horsebreeding Development	84,976.00	7,916.57	9,866.23	17,782.80	20.93%
Albany County Airport Authority	4,990,386.00	278,056.55	738,448.55	1,016,505.10	20.37%
Albany Port District Commission	2,908,975.00	327,837.96	324,771.73	652,609.69	22.43%
Alcohol Beverage Control Board	117,729.85	4,550.90	36,953.34	41,504.24	35.25%
Alcoholism and Substance Abuse Services	2,718,685.81	342,924.49	1,094,431.85	1,437,356.34	52.87%
Battery Park City Authority	16,863,041.00	3,154,190.08	2,031,232.48	5,185,422.56	30.75%
Bridge Authority	30,840,792.00	314,732.92	3,832,855.55	4,147,588.47	13.45%
Buffalo Fiscal Stability Authority	142,797.00	105,804.77	356.29	106,161.06	74.34%
Capital District Transportation Authority	3,959,585.00	263,347.65	686,530.82	949,878.47	23.99%
Cayuga County Water & Sewer Authority	16,972.00	0.00	5,263.88	5,263.88	31.02%
City University Construction Fund	96,441,854.00	8,208,470.09	4,908,144.88	13,116,614.97	13.60%
City University of New York	47,816,316.78	6,526,607.97	7,916,250.54	14,442,858.51	30.20%
CNY Regional Transportation	5,162,250.00	169,996.28	1,827,622.02	1,997,618.30	38.70%
Council on the Arts	196,043.32	96,516.20	2,451.23	98,967.43	50.48%
Department of Civil Service	655,402.00	58,726.12	89,724.09	148,450.21	22.65%
Department of Corrections and Community Supervision	44,453,451.30	7,257,828.56	4,486,069.22	11,743,897.78	26.42%
Department of Economic Development	10,012,565.07	509,662.26	1,354,907.29	1,864,569.55	18.62%
Department of Environmental Conservation	143,719,722.01	2,808,583.17	10,031,124.48	12,839,707.65	8.93%
Department of Financial Services	1,849,904.00	326,903.83	538,709.42	865,613.25	46.79%
Department of Health	455,144,370.39	31,174,347.35	126,207,114.23	157,381,461.58	34.58%
Department of Labor	7,982,007.00	1,721,799.82	1,067,073.18	2,788,873.00	34.94%
Department of Motor Vehicles	7,844,649.00	1,516,325.52	1,153,771.54	2,670,097.06	34.04%
Department of Public Service	996,450.00	205,222.11	117,409.55	322,631.66	32.38%
Department of State	7,979,148.00	985,313.66	4,353,856.06	5,339,169.72	66.91%
Department of Taxation and Finance	7,300,035.00	796,594.33	1,917,247.33	2,713,841.66	37.18%
Development Authority of North Country	10,032,897.00	629,339.83	3,326,596.42	3,955,936.25	39.43%
Division of Budget	1,416,589.00	212,764.30	57,856.28	270,620.58	19.10%
Division of Criminal Justice Services	999,231.00	323,728.95	270,945.17	594,674.12	59.51%
Division of Housing & Community Renewal	196,288,895.00	22,627,477.96	18,920,397.34	41,547,875.30	21.17%
Division of Human Rights	291,620.42	78,066.35	41,343.68	119,410.03	40.95%
Division of Military and Naval Affairs	2,534,196.00	482,534.39	410,457.94	892,992.33	35.24%
Division of State Police	14,444,227.00	5,961,655.92	1,154,968.33	7,116,624.25	49.27%
Dormitory Authority of the State of New York	468,469,557.72	72,939,481.90	45,118,708.87	118,058,190.77	25.20%
Education Department	31,279,871.00	971,330.31	4,774,882.92	5,746,213.23	18.37%
Empire Center at the Egg	28,361.00	1,735.28	4,856.50	6,591.78	23.24%
Empire State Development	773,300,651.89	112,441,388.66	126,710,069.58	239,151,458.24	30.93%

Agency Name	Agency Expenditure	MBE Utilization	WBE Utilization	MWBE Utilization	MWBE %
Energy Research & Development Authority, NYS	10,968,062.00	2,548,250.61	2,502,657.66	5,050,908.27	46.05%
Environmental Facilities Corporation	4,704,996.00	844,422.39	334,263.13	1,178,685.52	25.05%
Erie County Fiscal Stability Authority	25,261.00	0.00	798.00	798.00	3.16%
Erie County Medical Center	15,237,357.00	1,229,973.15	3,383,028.19	4,613,001.34	30.27%
Executive Chamber	268,538.00	77,742.05	33,523.63	111,265.68	41.43%
Gaming Commission	100,753,311.00	9,519,234.17	19,781,810.58	29,301,044.75	29.08%
Governor's Office of Employee Relations	107,754.00	88,802.11	9,651.27	98,453.38	91.37%
Higher Education Services Corporation	9,524,956.00	64,290.47	795,882.77	860,173.24	9.03%
Homeland Security	4,423,290.14	1,229,172.25	259,225.00	1,488,397.25	33.65%
Housing Finance Agency	732,908,615.00	62,490,948.36	47,025,222.54	109,516,170.90	14.94%
Hudson River Black River Regulating District	781,081.00	32,340.05	285,549.80	317,889.85	40.70%
Hudson River Park Trust	17,784,978.00	1,867,179.11	3,299,034.40	5,166,213.51	29.05%
Insurance Fund	48,258,760.00	7,680,238.42	11,208,871.52	18,889,109.94	39.14%
Jacob K. Javits Convention Center	16,043,194.37	2,029,202.51	3,668,953.25	5,698,155.76	35.52%
Joint Commission on Public Ethics	60,134.00	33,783.49	11,433.34	45,216.83	75.19%
Justice Center for the Protection of Persons with Special Needs	1,198,440.83	497,671.32	332,657.27	830,328.59	69.28%
Livingston County Water & Sewer Authority	92,748.00	465.18	10,129.71	10,594.89	11.42%
Long Island Power Authority	114,560,515.00	12,532,978.03	20,619,566.81	33,152,544.84	28.94%
Metropolitan Transportation Authority	1,296,899,819.67	163,712,533.52	152,366,443.66	316,078,977.18	24.37%
Nassau County Interim Finance Authority	350,941.00	68,567.28	319.98	68,887.26	19.63%
Nassau Health Care Corporation	49,541,994.00	365,069.65	2,592,483.09	2,957,552.74	5.97%
Natural Heritage Trust	1,859,517.00	2,378.80	398,671.99	401,050.79	21.57%
New York Power Authority	294,982,462.00	22,691,868.00	31,975,688.01	54,667,556.01	18.53%
New York State Department of Transportation	300,779,471.84	19,350,142.34	36,641,981.08	55,992,123.42	18.62%
New York State Thoroughbred Breeding and Development Fund	181,202.00	2,793.89	78,686.77	81,480.66	44.97%
Niagara Falls Water Board	3,980,806.00	0.00	968,951.56	968,951.56	24.34%
Niagara Frontier Transportation Authority	7,293,430.00	288,619.03	1,627,820.75	1,916,439.78	26.28%
Office for the Prevention of Domestic Violence	29,859.00	1,192.00	26,387.39	27,579.39	92.37%
Office for Victim Services	95,326.00	1,197.49	66,398.73	67,596.22	70.91%
Office of Aging	300,897.24	28,973.48	64,114.51	93,087.99	30.94%
Office of Children & Family Services	32,794,465.82	10,234,526.30	7,022,390.26	17,256,916.56	52.62%
Office of General Services	388,400,121.87	52,726,400.77	49,693,572.19	102,419,972.96	26.37%
Office of Information Technology Services	58,906,335.17	23,252,158.82	14,655,198.37	37,907,357.19	64.35%
Office of Mental Health	67,792,339.00	12,480,675.30	10,455,504.08	22,936,179.38	33.83%
Office of People With Developmental Disabilities	35,214,919.89	6,136,879.86	5,535,323.39	11,672,203.25	33.15%
Office of Temporary and Disability Assistance	95,738,607.92	11,157,433.38	19,788,576.75	30,946,010.13	32.32%
Office of the Inspector General	52,049.00	26,447.18	8,248.31	34,695.49	66.66%
Office of the Medicaid Inspector General	2,132,969.00	602,374.64	786,395.98	1,388,770.62	65.11%
Office of the Welfare Inspector General	15,154.00	9,539.15	1,674.62	11,213.77	74.00%
Ogdensburg Bridge and Port Authority	1,601,752.00	24,343.78	669,854.52	694,198.30	43.34%

Agency Name	Agency Expenditure	MBE Utilization	WBE Utilization	MWBE Utilization	MWBE %
Olympic Regional Development Authority	2,426,900.00	42,245.47	885,932.75	928,178.22	38.25%
Parks, Recreation & Historic Preservation	148,922,784.00	8,248,868.18	24,724,179.67	32,973,047.85	22.14%
Port of Oswego Authority	189,766.00	453.60	50,324.13	50,777.73	26.76%
Public Employment Relations Board	55,959.00	1,932.05	15,600.40	17,532.45	31.33%
Rochester Genesee Regional Transportation Authority	3,267,870.00	159,180.74	453,854.06	613,034.80	18.76%
Roosevelt Island Operating Corporation	6,385,251.00	2,595,534.30	26,974.35	2,622,508.65	41.07%
Roswell Park Cancer Institute	31,514,636.34	3,867,745.57	7,199,370.92	11,067,116.49	35.12%
Schenectady Metroplex Development Authority	2,947,003.00	164,737.17	929,256.98	1,093,994.15	37.12%
State Board of Elections	303,788.18	1,207.07	113,976.76	115,183.83	37.92%
State Commission on Correction	12,174.00	2,575.73	4,691.84	7,267.57	59.70%
State University Construction Fund	513,030,819.98	62,317,494.00	55,505,254.20	117,822,748.20	22.97%
State University of New York Central	457,472,089.14	77,337,136.87	65,782,713.97	143,119,850.84	31.28%
Syracuse Regional Airport Authority	2,010,125.00	34,204.40	42,617.04	76,821.44	3.82%
Thruway Authority/Canal Corp	249,432,031.83	18,226,945.60	21,683,107.84	39,910,053.44	16.00%
United Nations Development Corporation	8,076,943.00	1,885,250.16	1,002,624.47	2,887,874.63	35.75%
Upper Mohawk Valley Water Authority	660,439.00	0.00	114,934.33	114,934.33	17.40%
Veterans Affairs	52,852.72	22,535.35	1,330.15	23,865.50	45.15%
Westchester County Health Care Corporation	18,419,455.00	632,958.54	5,274,392.63	5,907,351.17	32.07%
Workers Compensation Board	9,363,023.00	1,634,759.60	5,077,457.09	6,712,216.69	71.69%

¹ Utilization Reporting: Utilization and Expenditure Summary

² Utilization Reporting - Agency Utilization Summary