



**DIVISION OF MINORITY AND WOMEN'S  
BUSINESS DEVELOPMENT**

**Annual Report  
Fiscal Year 2014-2015**

## The Division of Minority and Women’s Business Development

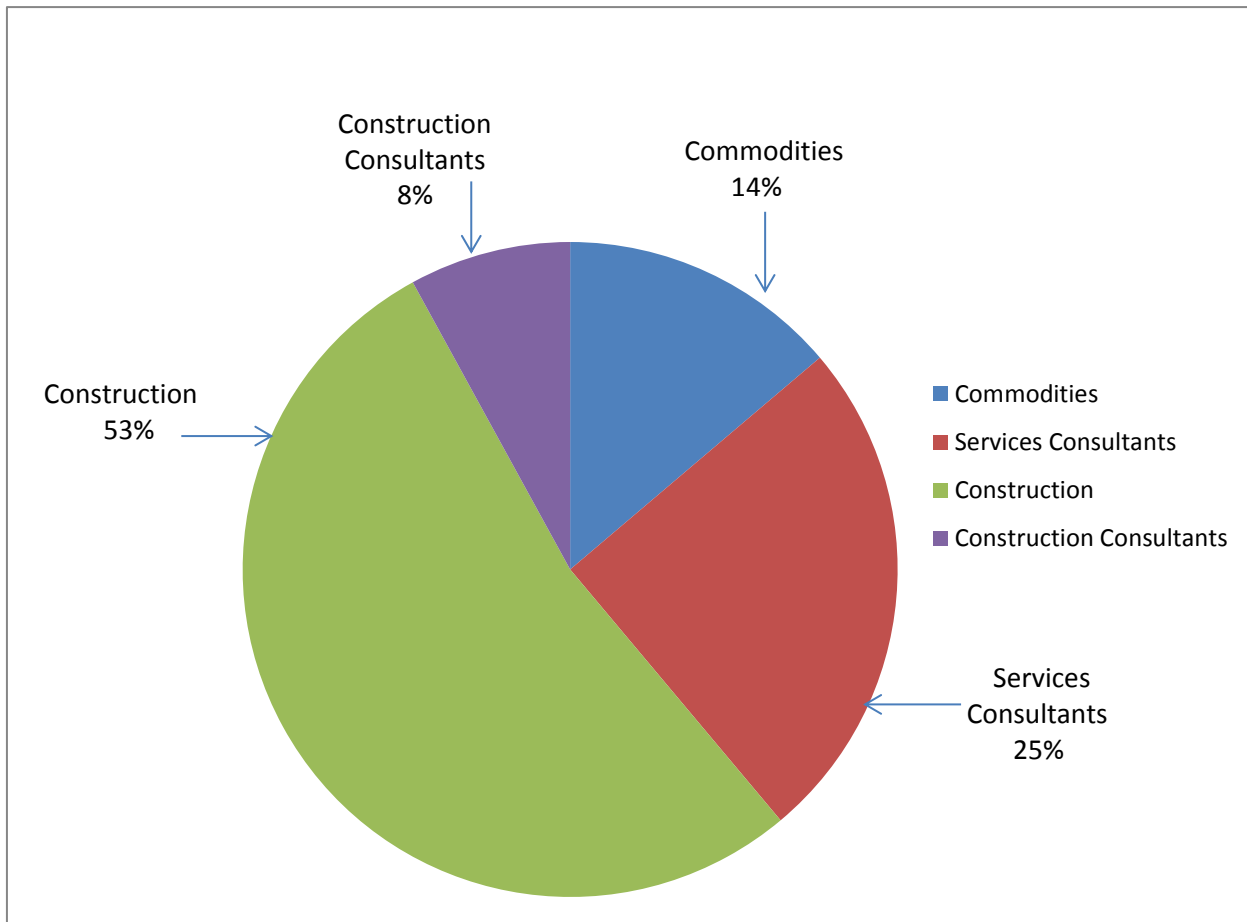
Executive Law Article 15-A (§§ 310-317) governs requirements for the participation of minority- and women-owned business enterprises (“MWBEs”), minority group members and women in New York State contracting. The objective of Article 15-A is to promote equality of economic opportunities for MWBEs, minority group members and women, and to eliminate barriers to their participation in state contracting.

The Division of Minority and Women’s Business Development (“DMWBD” or the “Division”) oversees the statewide MWBE program operated by “state agencies” (which includes, inter alia, state agencies and public authorities) as defined by Article 15-A. The Division is charged with three primary functions: (1) to encourage and assist state agencies that are engaged in contracting activities to award a fair share of state contracts to MWBEs; (2) to review applications by businesses seeking certification as an MWBE and to maintain a directory of certified MWBEs; and (3) to promote the business development of MWBEs through education and outreach to agencies and MWBEs.

### MWBE Utilization

	FY14-15
Statewide MWBE utilization percentage <sup>1</sup>	23.23%
Total agency and authority spending <sup>1</sup>	\$7,288,060,070
Total MWBE Contract Utilization <sup>1</sup>	\$1,692,805,272
Total Minority Business Enterprise (MBE) Contract Utilization <sup>1</sup>	\$ 797,359,369
Total Women Business Enterprise (WBE) Contract Utilization <sup>1</sup>	\$ 895,445,904

## Breakdown of Contract Utilization of MWBEs by Industry<sup>2</sup>



### Waiver and Violation Summary FY2014-2015

Number of Waivers Requested:	1098
Number of Waivers Granted:	871 <sup>a</sup>
Number of Contract Violations:	21 <sup>b</sup>
Monetary Sanctions/Damages from Violations:	\$697,755

<sup>a</sup> Waivers were granted due to a lack of MWBE firms available to meet goals

<sup>b</sup> Due to contractor failure to meet good faith standard

## FY2014-2015 MWBE Utilization

Agency Name	Agency Expenditure	MBE Utilization	WBE Utilization	MWBE Utilization	MWBE %
Adirondack Park Agency	90,898.00	12,812.22	19,493.26	32,305.48	35.54%
Agriculture and Markets	3,239,509.00	260,914.38	375,150.78	636,065.16	19.63%
Agriculture and New York State Horsebreeding Development	92,848.00	16,820.00	12,828.85	29,648.85	31.93%
Albany County Airport Authority	4,662,717.00	293,634.65	862,052.78	1,155,687.43	24.79%
Albany Port District Commission	4,217,736.00	68,201.89	774,702.63	842,904.52	19.98%
Alcohol Beverage Control Board	636,605.16	1,017.74	262,735.96	263,753.70	41.43%
Alcoholism and Substance Abuse Services	2,982,459.47	727,741.79	509,220.67	1,236,962.46	41.47%
Battery Park City Authority	19,495,243.00	3,192,155.93	1,850,857.79	5,043,013.72	25.87%
Bridge Authority	56,003,063.00	2,374,535.81	6,021,620.92	8,396,156.73	14.99%
Buffalo Fiscal Stability Authority	3,252.00	379.50	809.93	1,189.43	36.58%
Capital District Transportation Authority	3,476,566.00	150,588.17	530,125.93	680,714.10	19.58%
Cayuga County Water & Sewer Authority	1,962.00	0.00	0.00	0.00	0.00%
City University Construction Fund	81,468,259.00	18,013,200.96	13,941,205.26	31,954,406.22	39.22%
City University of New York	50,328,523.64	4,075,013.28	7,483,593.30	11,558,606.58	22.97%
CNY Regional Transportation	4,745,528.00	154,501.71	1,772,657.05	1,927,158.76	40.61%
Council on the Arts	169,227.17	41,089.26	55,372.13	96,461.39	57.00%
Department of Civil Service	891,087.01	20,656.99	364,112.56	384,769.55	43.18%
Department of Corrections and Community Supervision	35,937,645.89	6,004,916.86	4,180,939.45	10,185,856.31	28.34%
Department of Economic Development	7,118,667.42	366,513.35	2,047,670.73	2,414,184.08	33.91%
Department of Environmental Conservation	161,201,753.00	2,306,289.45	49,638,774.37	51,945,063.82	32.22%
Department of Financial Services	2,300,256.00	378,976.68	431,032.68	810,009.36	35.21%
Department of Health	458,858,717.76	25,890,229.34	102,937,198.18	128,827,427.52	28.08%
Department of Labor	15,393,407.00	2,272,088.27	2,304,699.60	4,576,787.87	29.73%
Department of Motor Vehicles	16,230,733.65	413,962.51	3,873,547.35	4,287,509.86	26.42%
Department of Public Service	1,609,891.00	359,051.71	118,039.28	477,090.99	29.63%
Department of State	6,276,753.00	1,221,331.31	3,929,709.33	5,151,040.64	82.07%
Department of Taxation and Finance	9,303,452.00	2,339,377.13	1,962,478.96	4,301,856.09	46.24%
Department of Transportation	386,551,238.24	23,743,119.42	41,352,213.80	65,095,333.22	16.84%
Development Authority of North Country	5,543,376.00	459,343.63	1,218,984.66	1,678,328.29	30.28%
Division of Budget	1,672,484.00	120,635.95	139,469.46	260,105.41	15.55%
Division of Criminal Justice Services	4,800,760.00	990,838.54	1,242,737.84	2,233,576.38	46.53%
Division of Housing & Community Renewal	149,228,195.00	15,713,888.92	18,447,269.36	34,161,158.28	22.89%
Division of Human Rights	209,652.37	33,490.28	44,375.35	77,865.63	37.14%
Division of Military and Naval Affairs	3,296,649.00	794,658.24	420,719.30	1,215,377.54	36.87%
Division of State Police	20,773,222.00	6,520,885.18	2,385,675.79	8,906,560.97	42.88%
Dormitory Authority of the State of New York	616,583,199.37	107,010,745.49	52,089,728.96	159,100,474.45	25.80%
Education Department	23,890,417.00	923,091.00	1,635,336.00	2,558,427.00	10.71%
Empire Center at the Egg	36,527.00	1,538.96	6,731.41	8,270.37	22.64%
Empire State Development	640,917,408.69	51,764,163.05	71,511,432.08	123,275,595.13	19.23%
Energy Research & Development Authority, NYS	10,350,592.00	704,955.65	5,879,612.16	6,584,567.81	63.62%
Environmental Facilities Corporation	3,079,158.00	315,319.92	463,924.55	779,244.47	25.31%

Agency Name	Agency Expenditure	MBE Utilization	WBE Utilization	MWBE Utilization	MWBE %
Erie County Fiscal Stability Authority	83,971.00	16,378.50	592.95	16,971.45	20.21%
Erie County Medical Center	24,381,387.00	1,635,206.63	3,341,089.73	4,976,296.36	20.41%
Executive Chamber	324,839.00	97,815.18	73,485.19	171,300.37	52.73%
Gaming Commission	46,528,118.00	12,686,196.31	1,583,641.39	14,269,837.70	30.67%
Governor's Office of Employee Relations	40,632.00	15,525.09	24,299.06	39,824.15	98.01%
Higher Education Services Corporation	248,692.00	1,755.02	199,649.10	201,404.12	80.99%
Homeland Security	21,110,896.69	1,866,787.73	1,691,312.71	3,558,100.44	16.85%
Housing Finance Agency	592,082,440.00	58,579,465.22	39,843,545.21	98,423,010.43	16.62%
Hudson River Black River Regulating District	617,665.00	69,959.95	45,803.58	115,763.53	18.74%
Hudson River Park Trust	18,572,677.00	2,759,103.19	2,884,004.08	5,643,107.27	30.38%
Insurance Fund	54,637,815.00	9,105,126.04	14,661,321.10	23,766,447.14	43.50%
Jacob K. Javits Convention Center	21,611,681.00	536,614.23	2,974,661.14	3,511,275.37	16.25%
Joint Commission on Public Ethics	38,950.00	17,514.54	9,832.20	27,346.74	70.21%
Justice Center for the Protection of Persons with Special Needs	1,117,541.69	666,004.38	276,786.48	942,790.86	84.36%
Livingston County Water & Sewer Authority	1,633,402.00	27,983.00	20,191.29	48,174.29	2.95%
Long Island Power Authority	69,544,302.00	6,404,626.53	15,010,339.76	21,414,966.29	30.79%
Metropolitan Transportation Authority	1,116,839,457.53	149,016,251.37	110,590,818.96	259,607,070.33	23.24%
Nassau County Interim Finance Authority	338,862.00	89,727.79	159.99	89,887.78	26.53%
Nassau Health Care Corporation	60,552,176.00	429,481.08	3,848,479.31	4,277,960.39	7.06%
Natural Heritage Trust	1,885,766.00	8,809.82	87,720.71	96,530.53	5.12%
New York Power Authority	315,218,912.00	31,761,550.00	34,852,780.00	66,614,330.00	21.13%
New York State Thoroughbred Breeding and Development Fund	190,906.00	1,892.37	69,736.47	71,628.84	37.52%
Niagara Falls Water Board	3,373,843.00	0.00	45,923.11	45,923.11	1.36%
Niagara Frontier Transportation Authority	4,073,721.00	162,025.99	1,001,742.15	1,163,768.14	28.57%
Office for the Prevention of Domestic Violence	19,011.18	1,038.78	9,216.03	10,254.81	53.94%
Office for Victim Services	205,520.00	18,991.42	132,562.83	151,554.25	73.74%
Office of Aging	207,910.49	21,354.68	26,616.86	47,971.54	23.07%
Office of Children & Family Services	51,480,146.57	9,987,381.06	7,241,013.60	17,228,394.66	33.47%
Office of General Services	331,753,559.71	38,609,329.35	45,134,561.14	83,743,890.49	25.24%
Office of Information Technology Services	20,246,921.12	7,424,187.58	3,929,803.18	11,353,990.76	56.08%
Office of Mental Health	65,713,730.78	12,798,508.13	7,560,480.39	20,358,988.52	30.98%
Office of People With Developmental Disabilities	48,773,687.92	8,083,096.44	6,325,794.76	14,408,891.20	29.54%
Office of Temporary and Disability Assistance	91,055,057.95	13,009,537.15	10,925,039.89	23,934,577.04	26.29%
Office of the Inspector General	79,854.00	37,798.47	11,362.96	49,161.43	61.56%
Office of the Medicaid Inspector General	2,215,095.00	769,825.15	654,104.92	1,423,930.07	64.28%
Office of the Welfare Inspector General	38,341.00	9,731.64	5,958.62	15,690.26	40.92%
Ogdensburg Bridge and Port Authority	737,373.00	28,694.09	375,661.32	404,355.41	54.84%
Olympic Regional Development Authority	3,249,831.00	10,601.19	1,407,583.50	1,418,184.69	43.64%
Parks, Recreation & Historic Preservation	148,829,468.00	14,855,275.71	22,314,955.13	37,170,230.84	24.98%
Port of Oswego Authority	1,692,443.00	0.00	48,099.50	48,099.50	2.84%

<b>Agency Name</b>	<b>Agency Expenditure</b>	<b>MBE Utilization</b>	<b>WBE Utilization</b>	<b>MWBE Utilization</b>	<b>MWBE %</b>
Public Employment Relations Board	44,976.00	3,561.55	21,551.84	25,113.39	55.84%
Rochester Genesee Regional Transportation Authority	1,953,883.00	14,689.34	423,405.25	438,094.59	22.42%
Roosevelt Island Operating Corporation	9,537,399.00	2,257,499.52	800,666.18	3,058,165.70	32.06%
Roswell Park Cancer Institute	32,475,669.05	3,950,155.03	5,600,059.08	9,550,214.11	29.41%
Schenectady Metroplex Development Authority	4,733,802.00	258,668.00	1,011,886.84	1,270,554.84	26.84%
State Board of Elections	301,250.12	23,833.79	42,557.65	66,391.44	22.04%
State Commission on Correction	7,589.00	2,276.74	180.00	2,456.74	32.37%
State University Construction Fund	416,618,926.92	68,987,279.62	52,813,410.24	121,800,689.86	29.24%
State University of New York Central	655,509,270.21	43,223,265.23	67,183,795.10	110,407,060.33	16.84%
Thruway Authority/Canal Corp	200,440,554.75	14,351,919.12	18,072,423.61	32,424,342.73	16.18%
United Nations Development Corporation	8,453,872.00	1,291,740.27	219,934.84	1,511,675.11	17.88%
Upper Mohawk Valley Water Authority	1,199,674.00	0.00	387,029.48	387,029.48	32.26%
Veterans Affairs	73,611.00	17,823.85	7,759.22	25,583.07	34.75%
Westchester County Health Care Corporation	13,948,154.00	971,246.28	2,440,676.52	3,411,922.80	24.46%
Workers Compensation Board	7,745,196.00	331,584.29	4,080,997.33	4,412,581.62	56.97%

Note: Syracuse Regional Airport Authority received their operating certification from the federal government in April of 2014, therefore they will report in FY2015-2016.

<sup>1</sup> Utilization Reporting: FY 1415 Utilization and Expenditure Summary

<sup>2</sup> Utilization Reporting - Agency Utilization Summary by Industry