

The logo for Empire State Development, featuring a stylized map of New York State in the background and the text "Empire State Development" in a blue serif font.

Empire State Development

DIVISION OF MINORITY AND WOMEN'S BUSINESS DEVELOPMENT

Annual Report Fiscal Year 2013-2014

The Division of Minority and Women’s Business Development

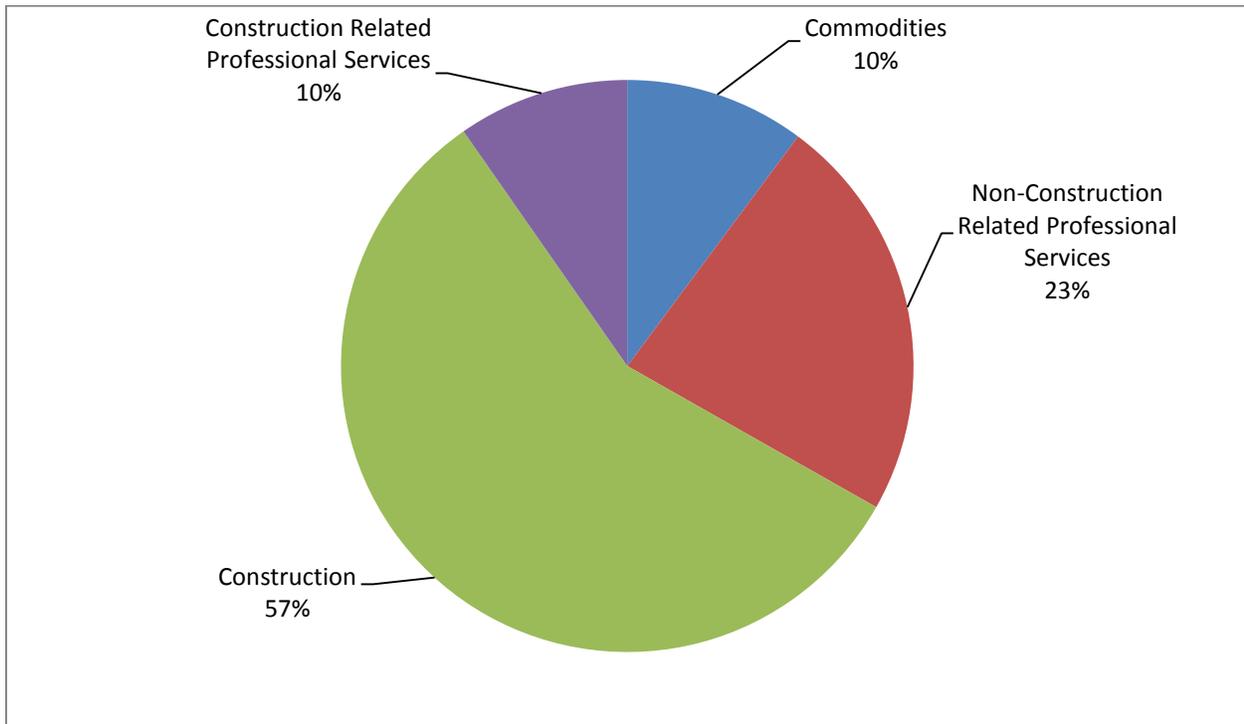
Executive Law Article 15-A (§§ 310-317) governs requirements for the participation of minority- and women-owned business enterprises (“MWBEs”), minority group members and women in New York State contracting. The objective of Article 15-A is to promote equality of economic opportunities for MWBEs, minority group members and women, and to eliminate barriers to their participation in state contracting.

The Division of Minority and Women’s Business Development (“DMWBD” or the “Division”) oversees the statewide MWBE program operated by “state agencies” (which includes, inter alia, state agencies and public authorities) as defined by Article 15-A. The Division is charged with three primary functions: (1) to encourage and assist state agencies that are engaged in contracting activities to award a fair share of state contracts to MWBEs; (2) to review applications by businesses seeking certification as an MWBE and to maintain a directory of certified MWBEs; and (3) to promote the business development of MWBEs through education and outreach to agencies and MWBEs.

MWBE Utilization

	FY13-14
Statewide MWBE utilization percentage	25.12%
Total agency and authority spending	\$7,803,308,805
Total MWBE contract awards	\$1,960,095,714
Total Minority Business Enterprise (MBE) contract awards	\$939,512,604
Total Women Business Enterprise (WBE) contract awards	\$1,020,583,110

Breakdown of State Contracts to MWBEs by Industry



Waiver and Violation Summary FY2013-2014

Number of Waivers Requested:	610
Number of Waivers Granted:	550*
Number of Contract Violations:	18**
Monetary Sanctions/Damages from Violations:	\$173,715

*Due to a lack of MWBE firms available to meet goals.

**Due to contractor failure to meet good faith standard.

FY2013-2014 MWBE Utilization

Name	Agency Expenditure	MBE Utilization	WBE Utilization	MWBE Utilization
ADIRONDACK PARK AGENCY	\$136,310.00	\$10,867.36	\$33,482.55	\$44,349.91
AGING, OFFICE OF	\$195,476.09	\$17,823.76	\$60,767.19	\$78,590.95
AGRICULTURE & MARKETS, DEPARTMENT OF	\$3,375,967.00	\$17,647.04	\$662,632.95	\$680,279.99
AGRICULTURE & NEW YORK STATE HORSEBREEDING DEVELOPMENT	\$98,939.00	\$17,017.84	\$18,080.92	\$35,098.76
ALBANY COUNTY AIRPORT AUTHORITY	\$4,856,798.00	\$190,934.09	\$1,285,178.70	\$1,476,112.79
ALBANY PORT DISTRICT COMMISSION	\$5,867,024.00	\$1,423,640.96	\$288,288.22	\$1,711,929.18
ALCOHOL BEVERAGE CONTROL, DIVISION OF	\$457,636.89	\$19,707.83	\$176,086.81	\$195,794.64
ALCOHOLISM AND SUBSTANCE ABUSE SERVICES, OFFICE OF	\$2,611,687.56	\$382,612.04	\$748,599.03	\$1,131,211.07
ARTS, NYS COUNCIL ON	\$120,818.12	\$2,186.24	\$36,667.68	\$38,853.92
BATTERY PARK CITY AUTHORITY	\$20,754,096.00	\$3,221,834.21	\$2,084,386.59	\$5,306,220.80
BRIDGE AUTHORITY	\$37,599,149.00	\$2,033,368.64	\$3,361,390.84	\$5,394,759.48
BUDGET, DIVISION OF	\$1,292,031.00	\$92,466.72	\$228,133.13	\$320,599.85
BUFFALO FISCAL STABILITY AUTHORITY	\$4,093.00	\$740.49	\$2,101.53	\$2,842.02
CAPITAL DISTRICT TRANSPORTATION AUTHORITY	\$5,550,709.00	\$152,592.61	\$681,055.23	\$833,647.84
CAYUGA COUNTY WATER & SEWER AUTHORITY	\$1,086,358.00	\$0.00	\$104,721.00	\$104,721.00
CHILDREN & FAMILY SERVICES, OFFICE OF	\$42,136,827.71	\$6,460,386.36	\$6,071,984.15	\$12,532,370.51
CITY UNIVERSITY CONSTRUCTION FUND	\$61,031,731.00	\$15,929,186.96	\$4,971,969.02	\$20,901,155.98
CIVIL SERVICE, DEPARTMENT OF	\$1,905,104.61	\$197,966.32	\$610,702.00	\$808,668.32
CNY REGIONAL TRANSPORTATION	\$5,386,277.00	\$154,783.02	\$1,559,848.49	\$1,714,631.51
CORRECTION, STATE COMMISSION	\$33,061.00	\$3,195.92	\$15,099.12	\$18,295.04
CORRECTIONS AND COMMUNITY SUPERVISION, DEPARTMENT OF	\$42,032,746.54	\$4,804,952.27	\$5,927,761.73	\$10,732,714.00
CRIMINAL JUSTICE SERVICES, DIVISION OF	\$21,577,704.00	\$6,797,295.72	\$1,751,273.96	\$8,548,569.68
CITY UNIVERSITY OF NEW YORK	\$39,221,320.66	\$1,892,586.36	\$1,826,620.10	\$3,719,206.46
DEVELOPMENTAL AUTHORITY OF NORTH COUNTRY	\$8,526,625.00	\$119,389.01	\$1,826,570.32	\$1,945,959.33
DORMITORY AUTHORITY OF THE STATE OF NEW YORK	\$755,233,070.88	\$163,644,002.89	\$55,437,000.54	\$219,081,003.43
ECONOMIC DEVELOPMENT, DEPARTMENT OF	\$3,685,945.54	\$451,119.81	\$1,391,017.91	\$1,842,137.72

Name	Agency Expenditure	MBE Utilization	WBE Utilization	MWBE Utilization
EDUCATION DEPARTMENT	\$75,338,707.00	\$6,483,846.00	\$8,841,797.00	\$15,325,643.00
ELECTIONS, STATE BOARD OF	\$730,088.31	\$50,902.55	\$66,076.26	\$116,978.81
EMPIRE CENTER AT THE EGG	\$69,200.00	\$1,581.22	\$6,926.88	\$8,508.10
EMPIRE STATE DEVELOPMENT CORP.	\$554,060,654.36	\$42,958,900.97	\$65,820,260.27	\$108,779,161.24
EMPLOYEE RELATIONS, GOVERNOR'S OFFICE OF	\$46,050.00	\$14,526.11	\$31,509.38	\$46,035.49
ENERGY RESEARCH & DEVELOPMENT AUTHORITY	\$6,030,716.00	\$528,308.83	\$2,755,142.79	\$3,283,451.62
ENVIRONMENTAL CONSERVATION, DEPARTMENT OF	\$344,685,666.00	\$85,408,564.07	\$118,484,364.59	\$203,892,928.66
ENVIRONMENTAL FACILITIES CORPORATION	\$6,136,999.00	\$1,145,255.15	\$792,643.61	\$1,937,898.76
ERIE COUNTY FISCAL STABILITY AUTHORITY	\$223,793.00	\$36,796.30	\$0.00	\$36,796.30
ERIE COUNTY MEDICAL CENTER CORPORATION	\$42,608,718.00	\$2,840,861.78	\$6,610,784.26	\$9,451,646.04
EXECUTIVE CHAMBER	\$474,899.00	\$216,250.55	\$63,984.65	\$280,235.20
FINANCIAL SERVICES, DEPARTMENT OF	\$2,518,503.00	\$959,796.20	\$441,289.54	\$1,401,085.74
GAMING COMMISSION*	\$48,138,569.00	\$13,466,473.42	\$2,298,493.35	\$15,764,966.77
GENERAL SERVICES, OFFICE OF	\$295,924,180.23	\$29,821,684.15	\$35,131,140.03	\$64,952,824.18
HEALTH, DEPARTMENT OF	\$361,137,636.11	\$17,992,115.39	\$68,780,825.09	\$86,772,940.48
HIGHER EDUCATION SERVICES CORPORATION	\$553,345.00	\$16,073.54	\$444,776.67	\$460,850.21
HOMES & COMMUNITY RENEWAL, DIVISION OF	\$139,231,411.00	\$16,994,436.81	\$24,538,896.87	\$41,533,333.68
HOMELAND SECURITY AND EMERGENCY SERVICES, DIVISION OF	\$34,951,405.96	\$1,592,847.92	\$3,864,463.42	\$5,457,311.34
HOUSING FINANCE AGENCY	\$678,271,218.00	\$47,189,563.62	\$49,448,343.00	\$96,637,906.62
HUDSON RIVER PARK TRUST	\$30,359,943.00	\$5,601,903.39	\$2,414,053.43	\$8,015,956.82
HUDSON RIVER BLACK RIVER REGULATING DISTRICT	\$387,098.00	\$23,402.97	\$26,004.14	\$49,407.11
HUMAN RIGHTS, DIVISION OF	\$623,346.00	\$75,673.22	\$80,493.50	\$156,166.72
INSPECTOR GENERAL, OFFICE OF	\$47,050.00	\$9,041.85	\$7,935.97	\$16,977.82
INSURANCE FUND, NYS	\$48,763,113.00	\$10,930,474.53	\$11,090,083.46	\$22,020,557.99
JACOB K. JAVITS CONVENTION CENTER	\$16,057,222.00	\$228,241.26	\$1,987,552.56	\$2,215,793.82
JOINT COMMISSION ON PUBLIC ETHICS	\$68,141.00	\$23,637.46	\$35,496.15	\$59,133.61
JUSTICE CENTER FOR THE PROTECTION OF PERSONS WITH SPECIAL NEEDS**	\$134,455.00	\$20,296.76	\$105,914.30	\$126,211.06

Name	Agency Expenditure	MBE Utilization	WBE Utilization	MWBE Utilization
LABOR, DEPARTMENT OF	\$14,291,968.00	\$1,765,102.72	\$1,710,520.67	\$3,475,623.39
LIVINGSTON COUNTY WATER & SEWER AUTHORITY	\$2,405,732.00	\$0.00	\$13,404.46	\$13,404.46
LONG ISLAND POWER AUTHORITY	\$66,267,864.00	\$2,988,113.59	\$10,505,118.19	\$13,493,231.78
MEDICAID INSPECTOR GENERAL, OFFICE OF	\$1,972,916.00	\$721,766.44	\$444,882.34	\$1,166,648.78
MENTAL HEALTH, OFFICE OF	\$28,488,988.46	\$5,154,276.63	\$4,365,785.65	\$9,520,062.28
METROPOLITAN TRANSPORTATION AUTHORITY	\$1,235,139,026.79	\$152,185,210.45	\$131,259,729.57	\$283,444,940.02
MILITARY AND NAVAL AFFAIRS, DIVISION OF	\$3,274,620.00	\$636,286.44	\$437,601.84	\$1,073,888.28
MOTOR VEHICLES, DEPARTMENT OF	\$9,523,355.73	\$470,455.49	\$2,234,293.44	\$2,704,748.93
NASSAU COUNTY INTERIM FINANCE AUTHORITY	\$302,647.00	\$90,000.00	\$0.00	\$90,000.00
NASSAU HEALTH CARE CORPORATION	\$52,730,370.00	\$1,662,919.14	\$5,533,969.66	\$7,196,888.80
NATURAL HERITAGE TRUST	\$1,813,928.00	\$28,530.35	\$312,513.67	\$341,044.02
NIAGARA FALLS WATER BOARD	\$7,624,242.00	\$287,452.00	\$197,600.95	\$485,052.95
NIAGARA FRONTIER TRANSPORTATION AUTHORITY	\$4,481,741.00	\$510,319.31	\$632,794.41	\$1,143,113.72
NYS THOROUGHBRED BREEDING AND DEVELOPMENT FUND	\$166,735.00	\$1,561.46	\$80,625.00	\$82,186.46
OLYMPIC REGIONAL DEVELOPMENT AUTHORITY	\$2,783,639.00	\$0.00	\$920,997.75	\$920,997.75
PARKS, RECREATION & HISTORIC PRESERVATION, OFFICE OF	\$110,716,011.31	\$12,171,303.30	\$16,152,710.26	\$28,324,013.56
PEOPLE WITH DEVELOPMENTAL DISABILITIES, OFFICE OF	\$40,147,614.16	\$5,175,122.10	\$3,350,403.66	\$8,525,525.76
OGDENSBURG BRIDGE AND PORT AUTHORITY	\$652,359.00	\$0.00	\$290,274.59	\$290,274.59
PORT OF OSWEGO AUTHORITY	\$142,678.00	\$0.00	\$49,489.47	\$49,489.47
POWER AUTHORITY, NEW YORK	\$329,405,413.00	\$30,673,319.00	\$43,490,407.00	\$74,163,726.00
PREVENTION OF DOMESTIC VIOLENCE, OFFICE OF THE	\$9,088.00	\$5,583.52	\$1,473.34	\$7,056.86
PUBLIC EMPLOYMENT RELATIONS BOARD	\$18,860.00	\$5,080.44	\$2,243.93	\$7,324.37
PUBLIC SERVICE, DEPARTMENT OF	\$1,804,452.00	\$177,067.57	\$431,082.35	\$608,149.92
ROCHESTER GENESEE REGIONAL TRANSPORTATION AUTHORITY	\$1,520,244.00	\$189,447.95	\$542,688.35	\$732,136.30
ROOSEVELT ISLAND OPERATING CORPORATION	\$6,350,898.00	\$687,448.05	\$799,667.52	\$1,487,115.57
ROSWELL PARK CANCER INSTITUTE	\$28,405,627.72	\$3,105,052.14	\$2,934,721.90	\$6,039,774.04

Name	Agency Expenditure	MBE Utilization	WBE Utilization	MWBE Utilization
SCHENECTADY METROPLEX DEVELOPMENT AUTHORITY	\$2,437,524.00	\$178,777.66	\$108,848.95	\$287,626.61
STATE POLICE, DIVISION OF	\$18,199,513.00	\$54,829.78	\$4,720,157.45	\$4,774,987.23
STATE UNIVERSITY CONSTRUCTION FUND	\$518,274,260.57	\$72,847,476.71	\$65,986,523.98	\$138,834,000.69
STATE, DEPARTMENT OF	\$2,281,915.00	\$509,417.39	\$1,169,495.04	\$1,678,912.43
STATE UNIVERSITY OF NEW YORK CENTRAL	\$592,811,275.81	\$34,915,448.00	\$60,548,902.70	\$95,464,350.70
TAXATION AND FINANCE, DEPARTMENT OF	\$9,837,469.00	\$1,863,128.35	\$2,077,948.26	\$3,941,076.61
TECHNOLOGY SERVICES, OFFICE OF INFORMATION***	\$11,759,846.79	\$3,839,942.11	\$2,127,731.27	\$5,967,673.38
TEMPORARY AND DISABILITY ASSISTANCE, OFFICE OF	\$58,047,247.06	\$6,874,046.87	\$13,252,613.30	\$20,126,660.17
THRUWAY AUTHORITY	\$166,552,649.00	\$23,575,635.05	\$15,685,694.28	\$39,261,329.33
TRANSPORTATION, DEPARTMENT OF	\$689,269,910.21	\$82,064,495.83	\$126,679,811.77	\$208,744,307.60
UNITED NATIONS DEVELOPMENT CORPORATION	\$5,223,977.00	\$137,223.63	\$382,424.29	\$519,647.92
UPPER MOHAWK VALLEY WATER AUTHORITY	\$2,633,752.00	\$0.00	\$481,246.31	\$481,246.31
VETERANS' AFFAIRS, DIVISION OF	\$48,134.00	\$2,532.12	\$11,960.48	\$14,492.60
VICTIM SERVICES, OFFICE OF	\$265,727.00	\$26,832.34	\$121,986.86	\$148,819.20
WELFARE INSPECTOR GENERAL, OFFICE OF	\$24,597.00	\$2,695.90	\$9,666.60	\$12,362.50
WESTCHESTER COUNTY HEALTH CARE CORPORATION	\$18,706,441.00	\$1,060,160.00	\$2,736,244.00	\$3,796,404.00
WORKERS COMPENSATION BOARD	\$4,143,915.00	\$198,783.70	\$2,456,184.03	\$2,654,967.73

*Division of Lottery and Racing & Wagering Board have merged into Gaming Commission

**Quality of Care for the Mentally Disabled has changed their name to Justice Center for the Protection of Persons with Special Needs

***Office of Technology has changed their name to Office of Information Technology Services