

The logo for Empire State Development, featuring a stylized map of New York State in the background. The text "Empire State" is in a bold, blue, sans-serif font, and "Development" is in a lighter blue, sans-serif font.

Empire State Development

DIVISION OF MINORITY AND WOMEN'S BUSINESS DEVELOPMENT

Annual Report Fiscal Year 2011-2012

The Division of Minority and Women’s Business Development

Executive Law Article 15-A (§§ 310-317) governs requirements for the participation of minority- and women-owned business enterprises (“MWBEs”), minority group members and women in New York State contracting. The objective of Article 15-A is to promote equality of economic opportunities for MWBEs, minority group members and women and to eliminate barriers to their participation in state contracting.

The Division of Minority and Women’s Business Development (“DMWBD” or the “Division”) oversees the statewide MWBE program operated by “state agencies” (which includes, inter alia, state agencies and public authorities) as defined by Article 15-A. The Division is charged with three primary functions: (1) to encourage and assist state agencies that are engaged in contracting activities to award a fair share of state contracts to MWBEs; (2) to review applications by businesses seeking certification as an MWBE and to maintain a directory of certified MWBEs; and (3) to promote the business development of MWBEs through education and outreach to state agencies and MWBEs.

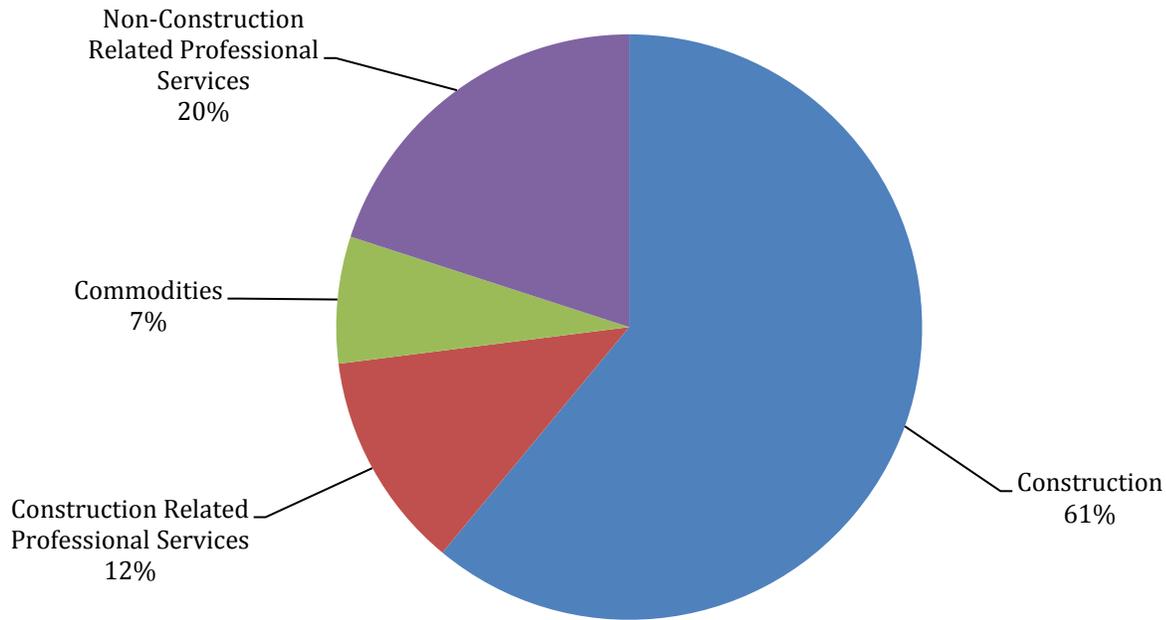
MWBE Utilization

	FY11-12
Statewide MWBE utilization percentage	16.67%
Total agency and authority spending	\$7,071,883,267
Total MWBE contract awards (see note below)	\$1,178,876,025
Total Minority Business Enterprise (MBE) contract awards	\$582,186,141
Total Women Business Enterprise (WBE) contract awards	\$573,932,037

Note:

The contract award amounts for the new public authorities reporting for the Fiscal Year 2011-2012 to the statewide MWBE program pursuant to the Public Authorities Reform Act of 2009 are represented solely in the aggregate "Total MWBE contract awards" amount.

Breakdown of State Contracts to MWBEs by Industry



Note:

Industry data from the new public authorities added to the statewide MWBE program pursuant to the Public Authorities Reform Act of 2009 was not captured during this reporting period. Systems were put into place to report their data as of FY2012-2013.

Waiver and Violation Summary FY2011-2012

Number of Waivers Requested:	293
Number of Waivers Granted:	213*
Number of Contract Violations:	14**
Monetary Sanctions/Damages from Violations:	\$235,347

*Due to a lack of MWBE firms available to meet goals.
 **Due to contractor failure to meet good faith standard.

New York State Contract System

During Fiscal Year 2011-2012, the State released a Request-For-Proposal for a state-of-the-art web based MWBE contract system. Once completed, the new contract system will track compliance, reporting, contract opportunities and certification.

FY2011-2012 MWBE Utilization

Name	Agency Expenditure	MBE Utilization	WBE Utilization	MWBE Utilization
ADIRONDACK PARK AGENCY	\$362,184.85	\$0.00	\$73,454.53	\$73,454.53
AGING, OFFICE FOR	\$244,118.44	\$82,347.22	\$44,769.89	\$127,117.11
AGRICULTURE & MARKETS, DEPARTMENT OF	\$1,820,961.42	\$42,112.53	\$58,760.67	\$100,873.20
AGRICULTURE & NYS HORSEBREEDING DEVELOPMENT FUND	\$277,342.99	\$0.00	\$0.00	\$56,974.64
ALBANY COUNTY AIRPORT AUTHORITY	\$5,404,558.70	\$24,643.88	\$105,259.00	\$129,902.88
ALBANY PORT DISTRICT COMMISSION	\$4,154,506.61	\$216,594.46	\$54,684.98	\$271,279.44
ALCOHOLIC BEVERAGE CONTROL, DIVISION OF	\$752,248.25	\$63,896.37	\$196,474.66	\$260,371.03
ALCOHOLISM & SUBSTANCE ABUSE SERVICES, OFFICE OF	\$546,590.01	\$139,620.00	\$41,182.74	\$180,802.74
ARTS, NYS COUNCIL ON	\$73,105.56	\$35,721.36	\$0.00	\$35,721.36
BANKING DEPARTMENT	\$106,571.33	\$9,573.00	\$53,340.96	\$62,913.96
BATTERY PARK CITY AUTHORITY	\$22,859,494.18	\$2,425,383.98	\$2,002,602.42	\$4,427,986.40
BRIDGE AUTHORITY	\$15,319,030.41	\$44,279.73	\$1,600,340.50	\$1,644,620.23
BUDGET, DIVISION OF	\$4,047,652.69	\$223,900.50	\$550,293.40	\$774,193.90
BUFFALO FISCAL STABILITY AUTHORITY	\$677,360.37	\$0.00	\$0.00	\$0.00
CAPITAL DISTRICT TRANSPORTATION AUTHORITY	\$14,146,460.85	\$32,551.52	\$1,526,238.49	\$1,558,790.01
CAYUGA COUNTY WATER AND SEWER AUTHORITY	\$0.00	\$0.00	\$0.00	\$0.00
CHILDREN & FAMILY SERVICES, OFFICE OF	\$33,371,650.48	\$7,199,819.47	\$8,022,822.16	\$15,222,641.63
CITY UNIVERSITY CONSTRUCTION FUND	\$51,681,005.31	\$16,412,152.04	\$3,334,525.42	\$19,746,677.46
CIVIL SERVICE, DEPARTMENT OF	\$1,913,483.74	\$620,142.82	\$159,636.15	\$779,778.97
CNY REGIONAL TRANSPORTATION AUTHORITY	\$507,636.54	\$0.00	\$0.00	\$230,000.02
CORRECTION, STATE COMMISSION	\$66,611.53	\$509.06	\$671.99	\$1,181.05
CORRECTIONS AND COMMUNITY SUPERVISION, DEPARTMENT OF	\$143,688,617.30	\$522,976.10	\$2,363,260.81	\$2,886,236.91
CRIMINAL JUSTICE SERVICES, DIVISION OF	\$16,647,240.23	\$3,468,217.32	\$912,786.81	\$4,381,004.13
CITY UNIVERSITY OF NEW YORK	\$7,005,034.00	\$262,166.82	\$267,823.08	\$529,989.90
DEVELOPMENT AUTHORITY OF THE NORTH COUNTRY	\$11,865,571.20	\$0.00	\$0.00	\$1,308,401.73
DORMITORY AUTHORITY OF NEW YORK STATE	\$864,909,905.11	\$121,644,499.87	\$78,653,425.21	\$200,297,925.08
ECONOMIC DEVELOPMENT, DEPARTMENT OF	\$2,610,838.12	\$41,283.88	\$664,173.74	\$705,457.62
EDUCATION, DEPARTMENT OF	\$59,588,865.00	\$3,336,559.00	\$9,300,242.00	\$12,636,801.00
ELECTIONS, STATE BOARD OF	\$193,968.32	\$11,551.36	\$15,562.50	\$27,113.86
EMPIRE CENTER AT THE EGG	\$697,488.81	\$0.00	\$1,882.60	\$1,882.60
EMPIRE STATE DEVELOPMENT CORP.	\$170,973,211.59	\$17,866,978.86	\$18,589,177.87	\$36,456,156.73
EMPLOYEE RELATIONS, GOVERNORS OFFICE OF	\$17,292.58	\$3,531.80	\$4,344.87	\$7,876.67

Name	Agency Expenditure	MBE Utilization	WBE Utilization	MWBE Utilization
ENERGY RESEARCH & DEVELOPMENT AUTHORITY	\$2,604,050.92	\$449,484.79	\$296,696.13	\$746,180.92
ENVIRONMENTAL CONSERVATION, DEPARTMENT OF	\$252,828,547.15	\$39,191,696.49	\$43,019,704.28	\$82,211,400.77
ENVIRONMENTAL FACILITIES CORPORATION	\$3,535,180.71	\$601,090.32	\$69,229.86	\$670,320.18
ERIE COUNTY FISCAL STABILITY AUTHORITY	\$48,218.40	\$0.00	\$0.00	\$0.00
ERIE COUNTY MEDICAL CENTER CORPORATION	\$89,617,506.00	\$0.00	\$0.00	\$9,450,181.00
EXECUTIVE CHAMBER	\$536,117.67	\$96,325.92	\$110,413.10	\$206,739.02
GENERAL SERVICES, OFFICE OF	\$129,010,834.05	\$24,056,456.12	\$22,665,833.80	\$46,722,289.92
HEALTH, DEPARTMENT OF	\$459,931,246.59	\$26,115,874.79	\$43,869,831.92	\$69,985,706.71
HIGHER EDUCATION SERVICES CORPORATION	\$874,713.16	\$111,772.24	\$299,922.83	\$411,695.07
HOMES & COMMUNITY RENEWAL, DIVISION OF	\$389,892,296.63	\$13,495,466.43	\$12,837,258.68	\$26,332,725.11
HOMELAND SECURITY AND EMERGENCY SERVICES, DIVISION OF	\$15,133,844.04	\$270,118.85	\$2,803,510.90	\$3,073,629.75
HOUSING FINANCE AGENCY	\$222,961,764.00	\$14,487,787.00	\$17,642,108.00	\$32,129,895.00
HUDSON RIVER PARK TRUST	\$14,663,730.07	\$1,671,826.82	\$1,565,980.20	\$3,237,807.02
HUDSON RIVER BLACK RIVER REGULATING DISTRICT	\$354,888.80	\$508.22	\$13,434.71	\$13,942.93
HUMAN RIGHTS, DIVISION OF	\$341,809.35	\$55,933.52	\$28,950.02	\$84,883.54
INSPECTOR GENERAL, OFFICE OF	\$37,711.66	\$4,658.83	\$3,602.80	\$8,261.63
INSURANCE DEPARTMENT	\$524,377.19	\$41,834.25	\$52,054.55	\$93,888.80
INSURANCE FUND, NYS	\$51,028,307.51	\$6,648,402.44	\$6,280,847.17	\$12,929,249.61
JACOB JAVITS CONVENTION CENTER	\$3,411,347.00	\$162,702.00	\$198,106.00	\$360,808.00
LABOR, DEPARTMENT OF	\$25,154,892.35	\$3,270,894.09	\$2,602,623.80	\$5,873,517.89
LIVINGSTON COUNTY WATER AND SEWER AUTHORITY	\$0.00	\$0.00	\$0.00	\$0.00
LONG ISLAND POWER AUTHORITY	\$96,628,376.00	\$2,039,883.39	\$11,423,286.67	\$13,463,170.06
LOTTERY, DIVISION OF	\$26,340,688.61	\$11,887,734.31	\$507,442.14	\$12,395,176.45
MEDICAID INSPECTOR GENERAL, OFFICE OF	\$3,056,310.94	\$706,552.75	\$727,937.10	\$1,434,489.85
MENTAL HEALTH, OFFICE OF	\$38,285,217.38	\$5,680,639.58	\$4,566,819.98	\$10,247,459.56
METROPOLITAN TRANSPORTATION AUTHORITY	\$1,073,881,412.10	\$84,098,139.63	\$74,484,656.51	\$158,582,796.14
MILITARY & NAVAL AFFAIRS, DIVISION OF	\$1,548,057.08	\$27,713.12	\$312,203.35	\$339,916.47
MORTGAGE AGENCY (SONYMA)	\$1,742,065.00	\$213,798.00	\$68,096.00	\$281,894.00
MOTOR VEHICLES, DEPARTMENT OF	\$15,313,668.77	\$1,238,276.11	\$2,359,933.03	\$3,598,209.14
NASSAU COUNTY INTERIM FINANCE AUTHORITY	\$9,000.00	\$0.00	\$0.00	\$9,000.00
NASSAU HEALTH CARE CORPORATION	\$109,475,125.00	\$0.00	\$0.00	\$7,145,877.98
NATURAL HERITAGE TRUST	\$2,703,827.38	\$21,348.01	\$348,490.68	\$369,838.69
NIAGARA FALLS WATER BOARD	\$4,600,098.00	\$0.00	\$0.00	\$0.00
NIAGARA FRONTIER TRANSPORTATION AUTHORITY	\$3,091,800.00	\$0.00	\$0.00	\$361,201.00

Name	Agency Expenditure	MBE Utilization	WBE Utilization	MWBE Utilization
NYS THOROUGHBRED BREEDING AND DEVELOPMENT FUND	\$161,230.00	\$0.00	\$0.00	\$985.00
OLYMPIC REGIONAL DEVELOPMENT AUTHORITY	\$5,085,635.15	\$0.00	\$1,893,705.71	\$1,893,705.71
PARKS, RECREATION & HISTORIC PRESERVATION, OFFICE OF	\$45,400,347.58	\$746,191.23	\$2,947,619.66	\$3,693,810.89
PEOPLE WITH DEVELOPMENT DISABILITIES, OFFICE OF	\$99,158,043.99	\$5,820,057.79	\$6,815,503.86	\$12,635,561.65
OGDENSBURG BRIDGE AND PORT AUTHORITY	\$1,536,843.53	\$0.00	\$0.00	\$16,720.94
PORT OF OSWEGO AUTHORITY	\$360,431.00	\$0.00	\$0.00	\$177,831.00
POWER AUTHORITY, NEW YORK	\$337,801,723.00	\$32,037,122.00	\$35,641,335.00	\$67,678,457.00
PREVENTION OF DOMESTIC VIOLENCE, OFFICE FOR THE	\$6,395.43	\$2,448.60	\$2,563.42	\$5,012.02
PUBLIC EMPLOYMENT RELATIONS BOARD	\$4,131.31	\$864.68	\$0.00	\$864.68
PUBLIC SERVICE, DEPARTMENT OF	\$583,732.00	\$150,681.41	\$104,082.34	\$254,763.75
QUALITY OF CARE FOR THE MENTALLY DISABLED	\$30,901.63	\$10,560.13	\$8,493.28	\$19,053.41
RACING & WAGERING BOARD	\$127,930.86	\$19,663.55	\$35,006.37	\$54,669.92
ROOSEVELT ISLAND OPERATING CORPORATION	\$8,192,554.22	\$1,207,206.52	\$1,202,648.78	\$2,409,855.30
ROSWELL PARK CANCER INSTITUTE	\$28,227,980.00	\$0.00	\$0.00	\$2,048,239.17
SCHENECTADY METROPLEX DEVELOPMENT AUTHORITY	\$1,737,824.07	\$0.00	\$0.00	\$43,076.95
STATE POLICE, DIVISION OF	\$561,268.08	\$14,244.00	\$104,463.81	\$118,707.81
STATE UNIVERSITY CONSTRUCTION FUND	\$598,343,216.26	\$46,915,011.50	\$35,143,272.56	\$82,058,284.06
STATE, DEPARTMENT OF	\$1,217,681.79	\$90,161.71	\$179,830.12	\$269,991.83
STATE UNIVERSITY OF NEW YORK CENTRAL	\$574,254,127.43	\$16,389,606.61	\$25,025,390.75	\$41,414,997.36
TAXATION AND FINANCE, DEPARTMENT OF	\$10,278,758.39	\$706,562.37	\$1,494,712.31	\$2,201,274.68
TECHNOLOGY, OFFICE OF	\$23,150,300.60	\$5,042,590.53	\$3,186,552.77	\$8,229,143.30
TEMPORARY AND DISABILITY ASSISTANCE, OFFICE OF	\$100,626,613.82	\$8,612,768.34	\$12,264,891.72	\$20,877,660.06
THRUWAY AUTHORITY	\$373,452,459.26	\$34,932,567.28	\$35,009,299.22	\$69,941,866.50
TRANSPORTATION, DEPARTMENT OF	\$366,312,114.24	\$16,952,395.57	\$33,917,095.58	\$50,869,491.15
UNITED NATIONS DEVELOPMENT CORPORATION	\$1,648,421.00	\$0.00	\$0.00	\$236,415.00
UPPER MOHAWK VALLEY WATER AUTHORITY	\$4,050,932.11	\$0.00	\$0.00	\$541,350.84
VETERANS' AFFAIRS, DIVISION OF	\$10,225.50	\$3,249.19	\$0.00	\$3,249.19
VICTIM SERVICES, OFFICE OF	\$127,836.75	\$9,428.60	\$86,642.84	\$96,071.44
WELFARE INSPECTOR GENERAL, OFFICE OF	\$6,760.33	\$799.65	\$0.00	\$799.65
WESTCHESTER COUNTY HEALTH CARE CORPORATION	\$7,500,637.00	\$0.00	\$0.00	\$1,131,591.00
WORKERS COMPENSATION BOARD	\$6,228,575.00	\$1,144,029.00	\$1,112,216.00	\$2,256,245.00