

Empire State Development (ESD) Performance Measures

Fiscal Year 2012-13

June 27, 2013

- Number of firms assisted (with grants, loans, technical assistance, problem-solving)
201 companies and organizations were assisted
 - 71 privately-held or publicly-traded companies, 28 public entities, 102 not-for-profit entities
 - 1 minority-owned business and 31 Community Development Financial Institutions, which target minority- and woman-owned businesses
 - 171 small-sized entities (defined as less than 100 employees), 20 medium-sized entities (defined as 100 to 499 employees), 10 large-sized entities (defined as over 500 employees)
- Note that number of jobs is for the entity receiving the financial assistance, not a larger, parent company
- Jobs retained/created through ESD assistance
 - 12,355 jobs retained and 2,424 jobs created
- Capital investment made in NYS (both total and private leveraged with public assistance)
 - \$1.93 billion total capital investment
 - \$1.58 billion private capital funds leveraged with public assistance (ESD and non-ESD)

ESD PERFORMANCE MEASURES BY FUNDING SOURCE
FY 2012-2013

Programs	Companies/ Organizations Assisted	FY 12-13 Grant Amount	FY 12-13 Loan Amount	Total Investment	Non-ESD Public Investment	Private Investment	Jobs Existing	Jobs Retained	Jobs Created	Total Projected Jobs
Core Discretionary Programs & Pre-Regional Council Initiatives										
Core Discretionary Programs*	42	\$ 24,741,924	\$ 1,100,000	\$ 535,598,235	\$ 30,383,783	\$ 480,072,528	6,881	6,749	1,528	8,409
Downstate Revitalization Fund	2	\$ 3,525,000	\$ 1,000,000	\$ 120,688,364	\$ 40,754,420	\$ 68,933,944	0	0	10	10
Upstate Regional Blueprint Fund	8	\$ 18,095,850	\$ 2,600,000	\$ 883,323,183	\$ 4,500,000	\$ 859,502,333	1,565	1,335	602	2,167
Restore NY Communities	5	\$ 13,080,000	\$ 0	\$ 33,439,144	\$ 7,216,068	\$ 13,143,076	0	0	0	0
Total	57	\$ 59,442,774	\$ 4,700,000	\$ 1,573,048,926	\$ 82,854,271	\$ 1,421,651,881	8,446	8,084	2,140	10,586
Regional Council Initiatives										
Economic Development Purposes Fund - Round 1	10	\$ 13,493,900	\$ 0	\$ 42,005,561	\$ 7,000,435	\$ 19,811,226	65	65	0	65
Economic Transformation Program Regional Council - Round 1	1	\$ 10,000,000	\$ 0	\$ 20,600,000	\$ 10,600,000	\$ 0	0	0	0	0
Regional Council Capital Fund - Round 1	13	\$ 33,109,500	\$ 0	\$ 61,151,336	\$ 7,562,500	\$ 27,179,336	2,779	2,734	47	2,826
Regional Council Capital Fund - Round 2	4	\$ 5,500,000	\$ 0	\$ 7,102,000	\$ 392,100	\$ 110,000	0	0	0	0
Total	28	\$ 62,103,400	\$ 0	\$ 130,858,897	\$ 25,555,035	\$ 47,100,562	2,844	2,799	47	2,891
Small Business & Community Development Programs										
Community Development Financial Institutions	28	\$ 1,710,000	\$ 0	\$ 1,710,000	\$ 0	\$ 0	0	0	0	0
Entrepreneurial Assistance Program	23	\$ 2,390,232	\$ 0	\$ 4,780,464	\$ 2,390,232	\$ 0	0	0	0	0
Innovate NY	8	\$ 35,000,000	\$ 0	\$ 70,000,000	\$ 0	\$ 35,000,000	0	0	0	0
Small Business Revolving Loan Fund	1	\$ 0	\$ 2,829,667	\$ 25,059,334	\$ 12,529,667	\$ 0	0	0	0	0
Total	60	\$ 39,100,232	\$ 2,829,667	\$ 101,549,798	\$ 14,919,899	\$ 35,000,000	0	0	0	0
Non-ESD/Other/Legislative/Non-Discretionary Programs										
Non-ESD/Other**	9	\$ 24,300,000	\$ 8,452,113	\$ 97,903,725	\$ 27,500,000	\$ 50,114,168	1,472	1,472	209	1,681
Legislative/Non-Discretionary***	47	\$ 41,765,286	\$ 0	\$ 172,989,625	\$ 92,483,684	\$ 39,353,155	77	0	28	105
Total	56	\$ 66,065,286	\$ 8,452,113	\$ 270,893,350	\$ 119,983,684	\$ 89,467,323	1,549	1,472	237	1,786
TOTAL	201	\$ 226,711,692	\$ 15,981,780	\$ 2,076,350,971	\$ 243,312,889	\$ 1,593,219,766	12,839	12,355	2,424	15,263
TOTAL CAPITAL INVESTMENT		\$ 193,598,068	\$ 15,981,780	\$ 1,933,460,585	\$ 150,068,104	\$ 1,576,446,289	11,316	10,909	2,296	13,612

* Core Discretionary Programs: Empire State Economic Development Fund, Economic Development Purposes Fund (FY 12-13), Jobs Now Program, Urban and Community Development Program
 ** Non-ESD/Other: 6 Job Development Authority Loans (~\$8.5MM), 1 New Markets Tax Credit Allocation (~\$12 MM), 1 Port Authority TEDIR grant (~5 MM), 1 PA Bi-State Dredge Fund grant (~4.3 MM) and 1 WTC JCRP grant (~3 MM)
 *** Legislative/Non-Discretionary: ESD administers these funds but does not select the funding recipients.

Empire State Development (ESD) Performance Measures
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**ESD PERFORMANCE MEASURES BY REGION
FY 2012-2013**

Region	Companies/ Organizations Assisted	FY 12-13 Grant Amount	FY 12-13 Loan Amount	Total Investment	Non-ESD Public Investment	Private Investment	Jobs Existing	Jobs Retained	Jobs Created	Total Projected Jobs
Capital	16	\$ 11,058,915	\$ 3,012,684	\$ 96,722,498	\$ 64,871,375	\$ 10,279,524	31	31	24	55
Central	19	\$ 19,942,093	\$ 1,500,000	\$ 330,493,953	\$ 4,762,093	\$ 304,902,267	1,849	1,574	323	2,172
Finger Lakes	23	\$ 16,741,593	\$ 1,900,000	\$ 89,783,890	\$ 11,882,347	\$ 59,260,050	2,005	1,873	353	2,358
Long Island	15	\$ 9,470,104	\$ 0	\$ 49,310,792	\$ 5,206,256	\$ 34,634,432	949	872	258	1,207
Mid Hudson	12	\$ 14,105,686	\$ 0	\$ 48,760,761	\$ 7,024,186	\$ 27,630,889	554	554	199	753
Mohawk Valley	13	\$ 13,097,745	\$ 0	\$ 32,423,415	\$ 13,683,635	\$ 5,642,035	145	145	20	165
New York City	54	\$ 68,649,723	\$ 9,269,096	\$ 310,842,732	\$ 80,176,177	\$ 163,010,292	1,629	1,629	246	1,875
North Country	12	\$ 7,628,463	\$ 0	\$ 58,862,963	\$ 42,522,806	\$ 8,711,694	65	65	0	65
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Southern Tier	11	\$ 20,924,186	\$ 0	\$ 113,125,062	\$ 7,199,186	\$ 85,001,690	386	386	450	836
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Western New York	26	\$ 45,093,184	\$ 300,000	\$ 946,024,905	\$ 5,984,828	\$ 894,146,893	5,226	5,226	551	5,777
TOTAL	201	\$ 226,711,692	\$ 15,981,780	\$ 2,076,350,971	\$ 243,312,889	\$ 1,593,219,766	12,839	12,355	2,424	15,263

**ESD PERFORMANCE MEASURES BY INDUSTRY
FY 2012-2013**

Industry	Companies/ Organizations Assisted	FY 12-13 Grant Amount	FY 12-13 Loan Amount	Total Investment	Non-ESD Public Investment	Private Investment	Jobs Existing	Jobs Retained	Jobs Created	Total Projected Jobs
Biotechnology/Science/Chemicals/Hi-tech Manufacturing or Research and Development	24	\$40,858,830	\$0	\$183,801,044	\$34,887,116	\$108,055,098	4,856	4,682	438	5,294
Educational Services/Colleges & Universities	4	\$975,000	\$0	\$2,159,593	\$150,000	\$1,034,593	0	0	0	0
Entrepreneurial and Training Assistance	28	\$3,315,232	\$0	\$7,261,045	\$3,745,732	\$200,081	0	0	0	0
Food or Retail Manufacturing/Distribution	6	\$2,057,900	\$1,000,000	\$102,359,385	\$7,833,107	\$91,468,378	517	517	581	1,098
Hospitality/Tourism	4	\$3,245,850	\$0	\$8,197,355	\$781,000	\$4,170,505	0	0	0	0
Lending Programs	42	\$50,809,924	\$2,829,667	\$110,869,258	\$12,529,667	\$35,000,000	0	0	0	0
Manufacturing	25	\$27,187,000	\$9,069,113	\$1,205,809,594	\$701,334	\$1,168,352,147	5,251	4,976	913	6,164
Base Retention/Studies/Not-for-Profits	27	\$8,121,920	\$0	\$15,741,229	\$962,911	\$6,656,398	0	0	0	0
Professional Services/Financial Services/Call Centers	7	\$3,585,000	\$500,000	\$13,610,126	\$381,320	\$9,143,806	2,088	2,053	373	2,461
Real Estate Development	16	\$58,542,500	\$483,000	\$218,104,304	\$20,886,568	\$151,267,292	2	2	0	2
Retail	1	\$275,000	\$0	\$1,500,000	\$0	\$1,225,000	0	0	0	0
Utilities/Broadband/Infrastructure	17	\$27,737,536	\$2,100,000	\$206,938,038	\$160,454,134	\$16,646,468	125	125	119	244
TOTAL	201	\$ 226,711,692	\$ 15,981,780	\$ 2,076,350,971	\$ 243,312,889	\$ 1,593,219,766	12,839	12,355	2,424	15,263