

**G. SU College of Law – Technology Commercialization Clinic Network (W688)**

September 14, 2010

- Grantee:** Syracuse University (“SU”)
- ESD Investment:** A grant of up to \$125,000 to be used for ongoing operational costs associated with the Technology Commercialization Clinic Network (“TCCN”) by SU’s College of Law.
- Project Location:** 407 McNaughton Hall, Syracuse, Onondaga County
- NYS Empire Zone (or equivalent):** N/A
- Project Completion:** September 2011
- Grantee Contact:** Caroline McMullin, Research Administrator  
Syracuse University  
Office of Sponsored Programs  
113 Bowne Hall  
Syracuse, NY 13244  
Phone: 315-443-2807 Fax: 315-443-9361
- Project Team:** Project Management Meg Paskins  
Affirmative Action Helen Daniels  
Environmental Soo Kang

**Project Description:**

Background

Syracuse University, located in the University Hill section of Syracuse, is a private research university founded in 1870. SU offers undergraduate degrees in over 200 majors in ten undergraduate schools and programs, and also offers masters and doctoral degrees from the Graduate School and from specialized programs from the Maxwell School of Citizenship and Public Affairs and the College of Law, among others.

Its Technology Commercialization Research Center (the “Center”) currently serves as the research arm of the SU New York State Science and Technology Law Center. The Center utilizes the professional diversity and expertise of the faculty management team to prepare two types of research projects: commercial development of new technologies and intellectual property management practices. In both types of research, legal information is always provided within the context of specific technologies, markets and management structures. In addition, the legal information provided is always directed to the specific needs and interests of client organizations.

## SU College of Law – Technology Commercialization Clinic Network (W688)

September 14, 2010

The ESD Directors approved a \$125,000 grant in January 2008 (Project #V866) for the formation of a TCCN in New York State (“NYS”) to expand the work done in the Center. The goal of the TCCN was to provide business and legal research services to New York State universities, research centers and start-up companies through a network of collaborating clinics in law schools and graduate business and engineering schools across New York State. Since the 2008 approval (the final request for the balance of V866 funding is being processed), three universities have been allocated with seed funding to establish Technology Commercialization Clinics (“TCC”) – Stony Brook University through its Center for Advanced Biotechnology, Niagara University through its Masters of Business Administration Program and Rochester Institute of Technology through its Center for Entrepreneurship. All three TCCs are now operational.

The TCCN has its headquarters at SU’s College of Law, which provides training and support for the establishment of technology commercialization clinics at other New York State universities and is open to any New York State university which has an advanced research program. The research performed in the TCCN is customized to client organizations’ needs and interests in developing early-stage technologies and is performed by student teams under the supervision of teaching assistants and faculty. The research results are delivered in the form of written reports ranging between 100-150 pages in length with extensive footnote references, tables and appendices.

The technology commercialization research services provided by the TCCN are a key catalyst in converting research inventions into commercial innovations and helps New York State in its ongoing commitment to being a world leader in technology research and commercialization. In addition, the TCCN provides a unique educational experience to students interested in pursuing professional careers involving technology commercialization whether in business, law or engineering.

### The Project

The bulk of project funding will be used to continue support for the three existing TCCs, as well as to seek out prospective TCCN members. In addition, funds will be used for other TCCN operational costs including SU administrative salaries, development of a Web site and travel. Theodore Hagelin, Professor of Law at SU, will continue to administer the program.

Upon completion of the project, the Grantee will furnish a final report, describing the impact and effectiveness of the project.

Financing Uses	Amount	Financing Sources	Amount	Percent
TCC Support	\$90,000	ESD Grant	\$125,000	100%
SU Salaries	20,000			
Web Site Development	7,000			
Meetings/Travel	6,000			
Supplies	2,000			
Total Project Costs	\$125,000	Total Project Financing	\$125,000	100%

## **SU College of Law – Technology Commercialization Clinic Network (W688)**

September 14, 2010

### **Statutory Basis – Community Projects Fund:**

The project was authorized in the 2009-2010 New York State budget and reappropriated in the 2010-2011 New York State Budget. No residential relocation is required as there are no families or individuals residing on the site.

### **Disclosure and Accountability Certifications:**

The Grantee has provided ESDC with the required Disclosure and Accountability Certifications, which disclosed the following information:

- SU is sued from time to time for normal business practices. Two cases during the past five years, involving negligence cases brought by independent contractors, resulted in pay outs primarily by insurers and thus have had no real financial impact to SU.
- On May 26, 2004, the New York State Office of Fire Prevention and Control issued an Assessment of Penalty to SU, issued to the Daily Orange for failing to remove an extension cord from their office areas. A monetary penalty of \$2,300 was issued and paid in full.
- On July 20, 2004, the Onondaga County Department of Water Environment Protection (“OCDWEP”) issued a Notice of Violation and Compliance Order to SU for a fuel oil discharge to the county’s combined sewer system resulting from a ruptured fuel oil pipe. SU promptly submitted the required follow-up documentation requested by OCDWEP. No fines or penalties were issued.
- On October 26th 2006, the Onondaga County Health Department issued a monetary penalty of \$250 to SU Food Services. The penalty was for bare hand contact with a ready-to-eat food product. The monetary penalty was paid in full and no further enforcement is needed.
- SU has received two OSHA citations in the last seven years, but neither was a serious or willful violation.

The Disclosure and Accountability Certifications, while disclosing the above, do not indicate that the Grantee has any current conflict of interest or good standing violations, and therefore, staff recommends that the Corporation authorize the grant to the Grantee as described in these materials.