EMPIRE STATE DEVELOPMENT
APPLICATION FOR ADDITIONAL ALLOCATION
FROM THE STATEWIDE RESERVE

Name and of Issuing Agency and contact information:

_____

_____

_____

Amount of initial allocation $_____
Amount of previous allocation(s) from the reserve $_____
Total bonds issued from above allocations $_____
Amount of additional allocation requested $_____

Principal User FEIN # _____

NYS UI Employer Registration # _____

List the Principal User and Use, the location, and amount for each project for which additional allocation is requested. Please detail the impact on workforce, business operations, and economic activity resulting from the proposed use of allocation. Attach additional pages as necessary.

Proposed Project Costs and Financing

IDA Financing (would include bond issuances) $_____
Company equity $_____
Other grants $_____
Private loans $_____
Total Project Cost **$_____**

Signed _____ Date _____
(for the Issuing Agency)

Attached: Copy of "Inducement Resolution" and copy of firm's application for Incentives and Services to IDA.

AUTHORIZATION FOR RELEASE OF UNEMPLOYMENT INSURANCE RECORDS

I _____, as _____ of _____ (the Company) do hereby authorize and give my consent to the New York State Commissioner of Labor to disclose, to employees of the New York State Department of Economic Development all records filed by the company in making Unemployment Insurance (U.I.) reports and contributions required by State Labor and Tax Law, including, but not limited to, all information contained in or relating to the quarterly combined withholding, wage reporting and U.I. returns, the registration for U.I., the New Hire file, and all records of U.I. delinquencies. In addition, this authorization and consent shall include all information contained in any survey reports requested by the Department of Labor on behalf of the U.S. Department of Labor, Bureau of Labor Statistics including, but not limited to, the Current Employment, Occupational Employment, multiple worksite, and annual refiling surveys. The use of information and records released pursuant to this authorization shall be limited to government purposes concerning the application of this company for a grant(s).from the Department of Economic Development made pursuant to sections 100 and 221 of the State's Economic Development Law.

_____

Sworn to before me this
_____ day of _____, 20____

_____
Notary Public

REQUEST FOR CERTIFICATION

The _____(the Issuer) hereby requests a Certification to fulfill the information reporting requirements provided in the Internal Revenue Code, Section 194(e). The undersigned, who is the _____ of the Issuer certifies that the bonds issued for the project(s) and the amounts listed below:

(i) are subject to the State ceiling;

(ii) have been issued from an initial allocation or Statewide Reserve allocation received by the Issuer; and

(iii) that the aggregate face amount of the Private Activity Bonds listed, when added to the aggregate face amount of tax-exempt Private Activity Bonds previously issued by such Issuer since January 1, 2013, does not exceed this Issuer's 2013 Private Activity Bond allocation pursuant to Section 146 of the Code.

ISSUER

BY:
DATE:

Title of Issue	Amount	Date Issued

ELECTION TO SURRENDER ALLOCATION

Pursuant to Section 10 of Chapter 83, Laws of 2012, the _____, a political subdivision, hereby elects to surrender all or a portion of its private activity bond volume allocation for calendar year 2013, an amount equal to $_____to the _____ Industrial Development Agency, a local agency, and agrees that such election is effective immediately.

Date:

By:

For:
Political Subdivision
Electing to Surrender

By:

For:
Industrial Development Agency
Recipient of Surrendered Allocation

OVERLAPPING JURISDICTIONS: ELECTION TO SURRENDER SHARE

Pursuant to Section 8 of Chapter 83, Laws of 2012, the _____ Industrial Development Agency, a local agency, hereby elects to surrender all or a portion of its private activity bond volume allocation for calendar year 2013, an amount equal to $_____to the _____ Industrial Development Agency, another local agency with overlapping jurisdiction, and agrees that such election is effective immediately.

Date:

By:

For:
Political Subdivision
Electing to Surrender

By:

For:
Industrial Development Agency
Recipient of Surrendered Allocation