

**EMPIRE STATE DEVELOPMENT  
APPLICATION FOR ADDITIONAL ALLOCATION  
FROM THE STATEWIDE RESERVE**

Name and of Issuing Agency and contact information:

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Amount of initial allocation	\$ _____
Amount of previous allocation(s) from the reserve	\$ _____
Total bonds issued from above allocations	\$ _____
<b>Amount of additional allocation requested</b>	<b>\$ _____</b>

Principal User FEIN # \_\_\_\_\_

NYS UI Employer Registration # \_\_\_\_\_

List the Principal User and Use, the location, and amount for each project for which additional allocation is requested. Please detail the impact on workforce, business operations, and economic activity resulting from the proposed use of allocation. Attach additional pages as necessary.

Proposed Project Costs and Financing

IDA Financing (would include bond issuances)	\$ _____
Company equity	\$ _____
Other grants	\$ _____
Private loans	\$ _____
<b>Total Project Cost</b>	<b>\$ _____</b>

Signed \_\_\_\_\_  
(for the Issuing Agency)

Date \_\_\_\_\_

Attached: Copy of "Inducement Resolution" and copy of firm's application for Incentives and Services to IDA.

**AUTHORIZATION FOR RELEASE OF UNEMPLOYMENT INSURANCE RECORDS**

I \_\_\_\_\_, as \_\_\_\_\_ of \_\_\_\_\_ ( the Company) do hereby authorize and give my consent to the New York State Commissioner of Labor to disclose, to employees of the New York State Department of Economic Development all records filed by the company in making Unemployment Insurance (U.I.) reports and contributions required by State Labor and Tax Law, including, but not limited to, all information contained in or relating to the quarterly combined withholding, wage reporting and U.I. returns, the registration for U.I., the New Hire file, and all records of U.I. delinquencies. In addition, this authorization and consent shall include all information contained in any survey reports requested by the Department of Labor on behalf of the U.S. Department of Labor, Bureau of Labor Statistics including, but not limited to, the Current Employment, Occupational Employment, multiple worksite, and annual refiling surveys. The use of information and records released pursuant to this authorization shall be limited to government purposes concerning the application of this company for a grant(s).from the Department of Economic Development made pursuant to sections 100 and 221 of the State's Economic Development Law.

\_\_\_\_\_

**Sworn to before me this**  
\_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_

\_\_\_\_\_  
**Notary Public**

**REQUEST FOR CERTIFICATION**

The \_\_\_\_\_(the Issuer) hereby requests a Certification to fulfill the information reporting requirements provided in the Internal Revenue Code, Section 194(e). The undersigned, who is the \_\_\_\_\_ of the Issuer certifies that the bonds issued for the project(s) and the amounts listed below:

- (i) are subject to the State ceiling;
- (ii) have been issued from an initial allocation or Statewide Reserve allocation received by the Issuer; and
- (iii) that the aggregate face amount of the Private Activity Bonds listed, when added to issued the aggregate face amount of tax-exempt Private Activity Bonds previously by such Issuer since January 1, 2011, does not exceed this Issuer's 2011 Private Activity Bond allocation pursuant to Section 146 of the Code.

ISSUER

BY:  
DATE:

Title of Issue

Amount

Date Issued

**ELECTION TO SURRENDER ALLOCATION**

Pursuant to Section 10 of Chapter 71, Laws of 2011, the \_\_\_\_\_, a political subdivision, hereby elects to surrender all or a portion of its private activity bond volume allocation for calendar year 2011, an amount equal to \$\_\_\_\_\_ to the \_\_\_\_\_ Industrial Development Agency, a local agency, and agrees that such election is effective immediately.

Date:

By:

For:

Political Subdivision  
Electing to Surrender

By:

For:

Industrial Development Agency  
Recipient of Surrendered Allocation

**OVERLAPPING JURISDICTIONS: ELECTION TO SURRENDER SHARE**

Pursuant to Section 8 of Chapter 71, Laws of 2011, the \_\_\_\_\_  
Industrial Development Agency, a local agency, hereby elects to surrender all or a portion of its  
private activity bond volume allocation for calendar year 2011, an amount equal to  
\$\_\_\_\_\_ to the \_\_\_\_\_ Industrial Development Agency, another  
local agency with overlapping jurisdiction, and agrees that such election is effective  
immediately.

Date:

By:

For:

Political Subdivision  
Electing to Surrender

By:

For:

Industrial Development Agency  
Recipient of Surrendered Allocation