



**NEW YORK STATE DEPARTMENT OF ECONOMIC DEVELOPMENT
NEW YORK STATE DMWBD CERTIFICATION PROGRAM
PERSONAL FINANCIAL STATEMENT**

Complete this form for: (1) each minority and/or woman owner; (2) each minority and/or woman limited and general partner whose combined interest totals 51% or more; (3) each minority and/or woman stockholder whose combined interest totals 51% or more all stock issued by the business.

Name:	Business Phone:
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Residence Address:	Residence Phone:
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Business Name of Applicant:

Assets	Omit Cents	Liabilities	Omit Cents
Cash on hand & in Banks	\$	Accounts Payable (Describe in Section 7)	\$
Savings Accounts	\$	Notes Payable to Bank and Others (Describe in Section 2)	\$
IRA & Other Retirement Account (Complete section 9)	\$	Installment Account (Auto) (Describe in Section 7)	\$
Accounts & Notes Receivable	\$	Monthly Payments	\$
Life Insurance - Cash Surrender Value Only (Complete section 8)	\$	Loan on Life Insurance	\$
Stocks and Bonds (Describe in Section 3)	\$	Mortgages on Real Estate (Describe in Section 4)	\$
Real Estate (Describe in Section 4)	\$	Unpaid Taxes (Describe in Section 6)	\$
Automobile - Present Value	\$	Other Liabilities (Describe in Section 7)	\$
Other Personal Property (Describe in Section 5)	\$	Total Liabilities	\$
Other Assets	\$	Net Worth	\$
Total	\$	Total	\$

Section 1. Sources of Income	Contingent Liabilities
Salary	As Endorser or Co-Maker
Net Investment Income	Legal Claims & Judgements
Real Estate Income	Provisions for Federal Income Tax
Other Income (Describe below)*	Other Special Debt

Description of Other Income in Section 1

*Alimony or child support payments need not be disclosed in "Other Income" unless is desired to have such payments counted toward total income.

Section 2. Notes Payable to Banks and Others**					
**Use attachments if necessary. Each attachment must be identified as part of this statement and signed.					
Name and Address of Noteholder(s)	Original Balance	Current Balance	Pmt Amt	Frequency	How Secured

Section 3. Stocks and Bonds**

**Use attachments if necessary. Each attachment must be identified as part of this statement and signed.

No. of Shares	Name of Securities	Cost	Market Value Quotation/Exchange	Date of Quotation/Exchange	Total Value

Section 4. Real Estate Owned. List each parcel separately****Use attachments if necessary. Each attachment must be identified as part of this statement and signed. Do **NOT** include primary residence.

	Property A	Property B	Property C	Property D
Type of Property				
Address				
Date Purchased				
Original Cost				
Present Market Value				
Name & Address of Mortgage Holder				
Mortgage Account Number				
Mortgage Balance				
Amount of Payment per Month/Year				
State of Mortgage				

Section 5. Other Personal Property and Other Assets.

Describe, and if any is pledged as security, state name and address of lien holder, amount of lien, terms of payment and if delinquency. Ownership interest in affiliate firms must be included. Use attachments if necessary.

Section 6. Unpaid Taxes.

Describe in detail, as to type, to whom payable, when due, and to what property, if any, a tax lien attaches.

Section 7. Other Liabilities.

Describe in detail. Use attachments if necessary. Each attachment must be identified as a part of this statement and signed.

Section 8. Life Insurance Held.

Give face amount and cash surrender value of policies - Name of insurance company and beneficiaries.

Section 9. Retirement Account(s).

Give face amount of all IRAs and other retirement accounts and show value less any penalties for early withdrawals.

Type of Account	Current Face Value	Minus Any Penalties for Early Withdrawal	Current Value if Withdrawn Today

Authorization.

Signature:	Date:	Social Security Number:
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