

ANNUAL REPORT

ON

PROCUREMENT CONTRACTS

FISCAL YEAR 2012-2013

Empire State Development
Annual Procurement Contracts
Entered During the Fiscal Year 2013 (04/01/2012 - 03/31/2013)

CO.	VENDOR NAME	ORIGINAL CONTRACT DATE	CONTRACT #	CONTRACT AMOUNT	INITIAL SCOPE OF SERVICES	PAYMENTS DURING FISCAL YEAR	CURRENT STATUS	COMPETITIVE SEARCH	BASIS OF AWARD	COMMENTS	NUMBER OF BIDS
EMPIRE STATE DEVELOPMENT											
ESD	Menter Rudin and Trivelpiece PC	1/15/2013	8007	10221	No-Upset Provide legal services to ESD with respect to the bankruptcy proceedings for creditor's rights and workout matters, including foreclosure proceedings.	2,793	On-going	YES	Best Value		11
ESD	Gonzalez Saggio LLP	1/16/2013	8008	10225	No-Upset Provide legal services to ESD with respect to the bankruptcy proceedings for creditor's rights and workout matters, including foreclosure proceedings.	362	On-going	YES	Best Value	MBE	11
ESD	Spectrum Personal Communications Corp	9/11/2012	7882	9964	1,125,000 Provide assistance to ESD in overseeing Columbia University's compliance with Project obligations, including construction milestones and community benefits.	200,841	On-going	YES	Best Value	MBE Prime & WBE Subcontractor.	7
ESD	Bryan Cave	8/17/2012	7704	9664	650,000 Provide advice and counsel on bankruptcy matters arising from Kodak's Chapter 11 filing, with specified emphasis on Eastman Business Park.	276,000	On-going	YES	Best Value		6
ESD	Knauf Shaw LLP	2/27/2013	8000	10199	500,000 Provide advise to ESD with respect to real estate and environmental matters arising from or in connection with bankruptcy proceeding involving the Eastman Kodak Business Park in Rochester, NY.	0	On-going	YES	Best Value		30
ESD	Hyjek & Fix, Inc.	5/31/2012	7758	9748	350,000 Provide assistance in identifying growth opportunities and retention strategies to keep New York State military bases as economic contributors and job generators.	258,420	On-going	YES	Best Value		3
ESD	Rudder Property Group	7/3/2012	7809	9831	238,200 Provide brokerage services to ESDC in connection with the sale of Unit 14A, the final ESD-owned office condominium unit located in 125 Maiden Lane.	0	Completed	NO	Single Source		
ESD	Dell	3/19/2013	8112	10426	183,988 Provide new equipment and technology with state-of-the-art hardware to allow ESD to consolidate physical and administrative resources as well as provide optimal service to our end users and business clients.	0	On-going	YES	Lowest Price	Vendor under State OGS competitive contract.	

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ESD	Hawkins, Delafield & Wood	6/8/2012	7769	9769	150,000	Provide legal services to ESD as bond counsel in connection with the State Personal Income Tax Bonds, Series 2011A.	150,000	Completed	YES	Best Value		18
ESD	Marcum Technology	3/20/2013	8113	10436	141,012	Provide computing, network and data storage equipment for an enterprise storage system that would be capable of providing computing power and storage for the next several years as well as provide storage in an alternate site.	0	On-going	YES	Lowest Price	Vendor under State OGS competitive contract.	
ESD	Cool Insuring Agency	2/27/2013	8070	10336	140,000	Provide marketing, placement, administrative and claims handling services on behalf of ESD and its subsidiaries.	140,000	On-going	YES	Best Value		6
ESD	Cool Insuring Agency	5/3/2012	7706	9667	140,000	Provide marketing, placement, administrative and claims handling services on behalf of ESD and its subsidiaries.	140,000	Completed	YES	Best Value		6
ESD	Rehon & Roberts	5/21/2012	7739	9712	55,000	Provide legal services to ESDC with respect to the enforcement of a judgment against Daystar Technologies, Inc. which had defaulted under the terms of a Capital Grant Agreement.	34,448	On-going	N/A	Single Source		
ESD	Foley & Lardner, LLP	8/20/2012	7859	9926	50,000	Provide advice and counsel in connection with the lease negotiations for the Ralph Wilson Jr. Stadium.	130,972	On-going	NO	Single Source		
ESD	Hodgson Russ, LLP	12/4/2012	7959	10123	49,500	Provide real estate legal services in order to negotiate for a proposed lease extension of Ralph Wilson Stadium to the Buffalo Bills.	39,896	On-going	YES	Best Value		30
ESD	Bergmann Associates	9/11/2012	7840	9885	49,500	Provide an engineering study and contingency plan for utilities and infrastructure at the Eastman Business Park in Rochester.	49,500	On-going	YES	Best Value		3
ESD	Bed Bug 911 Corp	10/23/2012	7915	10048	48,600	Provide monthly pest control inspection/extermination of the 633 Third Avenue ESDC Floors including the basement storage area for bed bug activity, which includes early detection and treatment if necessary.	15,900	On-going	YES	Best Value	WBE	3

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ESD	Real Estate Solutions Group	8/17/2012	7795	9806	48,580	Provide consultant services in connection with the Belmont Park market analysis and developer guidance.	48,580	Completed	YES	Best Value	WBE	3
ESD	Truax & Company	6/19/2012	7793	9802	48,250	Provide logo development and website design for the New York Works Task Force.	40,050	Completed	N/A	Single Source		
ESD	Gonzalez, Saggio & Harlan LLP	5/18/2012	7742	9726	47,000	Provide legal services to ESDC with respect to the negotiation of workouts, foreclosures, bankruptcies and related matters for the Sea Rise 1 & 2 Projects.	28,318	On-going	YES	Best Value	MBE	22
ESD	Community Planning & Environmental Associates	5/24/2012	7755	9740	47,000	Provide environmental consultant services to assist the Department of Environmental Conservation (DEC) in developing instruction guide for SEQRA applicants in completing the short and full Environmental Assessment Forms.	44,072	On-going	YES	Best Value	WBE	3
ESD	Marcum Technology	10/3/2012	7896	10001	34,679	Provide maintenance and support services for our primary storage devices for our New York City office as well as our Mid Hudson disaster recovery site.	34,679	On-going	YES	Lowest Price	Vendor under State OGS competitive contract	
ESD	Rao Tiliakos, LLP	7/6/2012	7819	9847	30,000	Provide legal services to ESD with respect to general corporate labor and employment legal advise.	0	Terminated	YES	Best Value	MWBE	22
ESD	Frank Tartaglia Inc.	11/8/2012	7912	10038	19,498	Provide removal of railroad crossing due to rotted railroad ties and constant tractor trailer traffic.	17,725	Completed	NO	Single Source	Emergency Circumstances	
ESD	Century 21 Sheik's Realty	6/29/2012	7826	9856	16,800	Provide real estate broker services to assist ESDC staff with disposing of ESDC owned residential property at 2570 Bouck Avenue, Bronx, NY.	0	On-going	YES	Best Value		1

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ESD	Nixon Peabody LLP	9/11/2012	7850	9907	15,000	Provide advice and counsel to modify certain annual payments from the Island House Residential Project on Roosevelt Island, as the Project undertakes a restructuring and exists the Mitchell-Lama program.	9,130	On-going	YES	Best Value		4
TOTAL ESD:					\$4,177,607		\$1,661,686					
CONVENTION CENTER DEVELOPMENT CORPORATION												
CCDC	Shearman & Sterling LLP	10/16/2012	7926	10070	500,000	Provide real estate services related to CCDC's numerous properties and property rights and disposing, leasing or acquiring additional properties.	0	On-going	YES	Best Value		23
TOTAL CCDC:					\$500,000		\$0					
ERIE CANAL HARBOR DEVELOPMENT CORPORATION												
ECHCD	Mark Cerrone Inc	3/8/2013	8132	10455	5,764,180	Provide Erie Canal Harbor Development Corporation with construction services for the historically aligned canals and associated environments.	0	On-going	YES	Best Value	WBE	3
ECHCD	Audubon Architecture Engineering Surveying and Landscape Architecture PC	2/1/2013	8025	10263	1,360,000	Provide consulting services relating to the design survey, design mapping and development of cross section options in regards to the reconstruction of a 1.5 mile roadway along the Buffalo River.	0	On-going	YES	Best Value		10
ECHDC	DiPizio Construction Company, Inc.	4/25/2012	7740	9720	23,740,800	Provide labor, material, equipment and supervision for the construction of the Historically Aligned Erie Canals.	6,591,079	On-going	YES	Best Value		6
ECHDC	Keleman-Bauer Construction	8/23/2012	7886	9969	3,359,040	Provide services that will consist of shoreline improvements, regarding the site, complete with a clean soil cap, removal of underground storage tank, construction of landscape features, installation of site utilities, rehabilitation of an existing building, and construction of a wooden dock structure.	1,897,258	On-going	YES	Best Value	MBE & WBE Subcontractors	7
ECHDC	McKinsey & Company Inc	8/17/2012	7863	9932	2,883,511	Provide consulting services to assist ESDC and the WNY Regional Economic Development Council to create and implement a strategy to leverage the \$1 billion State investment into transformational development positively impacting the City of Buffalo and its surrounding area.	0	On-going	YES	Best Value	MBE & WBE Subcontractors	3

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ECHDC	Herbert F. Darling, Inc.	6/8/2012	7794	9804	1,197,689	Provide construction services of the Seasonal Dock Extension along the Buffalo River.	932,310	On-going	YES	Best Value	3	
ECHDC	Buffalo Place, Inc.	5/25/2012	7768	9768	839,000	Provide operations, maintenance, security, programming, and marketing of Canalside.	785,615	On-going	N/A	Single Source		
ECHDC	C&S Engineers	11/28/2012	7974	10141	652,000	Provide architectural/engineering services for the design of the East Canal located on the site of the former Donovan State Office Building.	0	On-going	YES	Best Value	Certified Subs: Watts Architecture & Engineering (MBE); Foit Albert Associates (WBE).	2
ECHDC	Nature's Way Environmental	10/10/2012	7927	10071	359,520	Provide a sand sustainability test at Gallagher Beach and Ice Boom Area to see if construction of a sand beach is possible at these two locations.	276,474	On-going	YES	Best Value	Prime is Certified WBE; Iroquois Bar (MBE)	2
ECHDC	Foit-Albert Associates	6/8/2012	7767	9767	305,011	Provide lighting and engineering design services related to the Buffalo River Corridor.	305,011	On-going	YES	Best Value	WBE	8
ECHDC	Phillips Lytle, LLP	10/31/2012	7957	10121	300,000	Provide various real estate, environmental, construction and potential litigation matters concerning the Canalside Project.	75,987	On-going	YES	Best Value		23
ECHDC	Biederman Redevelopment Ventures Corp	7/30/2012	7846	9899	253,455	Provide consulting services, in furtherance of the Canalside Cultural Master Plan, to create additional amenities, programs and/or events for the spaces within and adjacent to the "Canals and Public Environments" that attracts a diverse and growing audience and supports public amenities currently under construction.	168,116	On-going	YES	Best Value		4
ECHDC	Quality Inspection Services	6/20/2012	7818	9846	240,000	Provide special inspection services for the construction of the Historically Aligned Erie Canals.	79,663	On-going	YES	Best Value		3
ECHDC	Arts Services Initiative of Western New York	6/18/2012	7817	9845	120,000	Provide event and program coordination services which will provide an array of appealing recreational activities, integrate arts and cultural experiences, and capitalize on the diverse resources Western New York has to offer.	102,711	On-going	YES	Best Value		1
TOTAL ECHDC:					\$41,374,206		\$11,214,224					

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<u>EMPIRE STATE NEW MARKET CORPORATION</u>												
ESNMC	CohnReznick LLP	3/26/2013	8135	10470	500,000	Provide accounting services to Empire State New Market Corporation (ESNMC) in connection with ESNM's current allocation and potential future allocation of federal New Markets Tax Credits and deployment thereof.	0	On-going	YES	Best Value	7	
				TOTAL ESNMC:	<u>\$500,000</u>	<u>\$0</u>						
<u>HARLEM COMMUNITY DEVELOPMENT CORPORATION</u>												
HCDC	Dun Rite Inc	2/26/2013	8065	10334	167,850	Provide window replacement and installation at 1468 Fifth Avenue.	80,988	On-going	YES	Best Value	Vendor under NYS DHCR competitive contract	4
HCDC	Ross Window Corporation	3/28/2013	8148	10488	148,647	Provide window replacement and installation at 273 W 131th Street.	0	Completed	YES	Best Value	Vendor under NYS DHCR competitive contract	4
HCDC	Skyline Industries, LLC	5/15/2012	7748	9733	146,320	Provide window replacement at 273-279 West 118th Street.	146,320	Completed	YES	Best Value	MBE- Vendor under NYS DHCR competitive contract Certified Minority Owned Business	4
HCDC	Ross Window Corporation	5/31/2012	7790	9798	129,952	Provide window replacement at 301 West 130th Street.	129,952	Completed	YES	Best Value	Vendor under NYS DHCR competitive contract	5
HCDC	Dun-Rite, Inc.	4/3/2012	7689	9628	128,782	Provide window replacement at 111 West 141th Street.	158,432	Completed	YES	Best Value	Vendor under NYS DHCR competitive contract	4
HCDC	City Gas Heating Service Co. Inc.	4/12/2012	7695	9645	127,275	Provide heating system replacement at 273 West 118th Street.	127,275	Completed	YES	Best Value	Vendor under NYS DHCR competitive contract	5
HCDC	Brooklyn Mechanical HTG Corporation	4/3/2012	7687	9623	106,200	Provide heating system replacement at 106 West 137th Street.	106,200	Completed	YES	Best Value	Vendor under NYS DHCR competitive contract	3
HCDC	Brooklyn Mechanical HTG Corporation	4/3/2012	7688	9624	106,200	Provide heating system replacement at 110 West 137th Street.	106,200	Completed	YES	Best Value	Vendor under NYS DHCR competitive contract	3
HCDC	East Coast Petroleum, Inc	4/3/2012	7686	9622	103,528	Provide heating system replacement at 176 West 137th Street.	103,528	Completed	YES	Best Value	Vendor under NYS DHCR competitive contract	4
HCDC	Brooklyn Mechanical HTG Corp	1/30/2013	8030	10272	100,900	Provide removal and installation of a new boiler system at 1468 Fifth Avenue.	15,683	Completed	YES	Best Value	Vendor under NYS DHCR competitive contract	3
HCDC	Brooklyn Mechanical HTG Corporation	5/8/2012	7720	9687	82,900	Provide heating system replacement at 111 West 141st Street.	88,350	Completed	YES	Best Value	Vendor under NYS DHCR competitive contract	4

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HCDC	New York Heating Corporation	1/30/2013	8028	10270	76,500	Provide removal and installation of a new boiler system at 273 West 131st Street.	49,230	Completed	YES	Best Value	Vendor under NYS DHCR competitive contract	7
HCDC	Brooklyn Mechanical HTG Corp	1/30/2013	8029	10271	73,000	Provide removal and installation of a new boiler system at 145 East 126th Street.	41,600	Completed	YES	Best Value	Vendor under NYS DHCR competitive contract	3
HCDC	East Coast Petroleum, Inc	5/31/2012	7783	9787	70,523	Provide heating system replacement at 301 West 130th Street.	70,523	Completed	YES	Best Value	Vendor under NYS DHCR competitive contract	4
HCDC	Ross Window Corporation	2/19/2013	8063	10332	65,625	Provide window replacement and installation at 145 East 126th Street.	37,124	Completed	YES	Best Value	Vendor under NYS DHCR competitive contract	4
HCDC	Laser Electrical Contracting Inc	3/28/2013	8147	10487	56,430	Provide removal and installation of all lighting fixtures at 273 West 131th Street.	0	Completed	YES	Best Value	MBE - Vendor under NYS DHCR competitive contract	3
HCDC	New York Heating Corporation	4/12/2012	7693	9643	54,763	Provide heating system replacement at 124 West 137th Street.	54,763	Completed	YES	Best Value	Vendor under NYS DHCR competitive contract	6
HCDC	W & B Electric Corporation	5/15/2012	7743	9727	54,420	Provide electrical work at 273-279 West 118th Street.	54,420	Completed	YES	Best Value	MBE - Vendor under NYS DHCR competitive contract	6
HCDC	New York Heating Corporation	5/8/2012	7722	9689	53,900	Provide heating system replacement at 531 Lenox Avenue.	53,900	Completed	YES	Best Value	Vendor under NYS DHCR competitive contract	6
HCDC	City Gas Heating Service Co. Inc.	4/12/2012	7694	9644	51,500	Provide heating system replacement at 163 West 136th Street.	51,500	Completed	YES	Best Value	Vendor under NYS DHCR competitive contract	6
HCDC	Skyline Industries, LLC	5/15/2012	7746	9730	48,976	Provide window replacement at 283 West 118th Street.	48,976	Completed	YES	Best Value	MBE - Vendor under NYS DHCR competitive contract Certified Minority Owned Business	4
HCDC	New York Heating Corporation	11/14/2012	7951	10110	46,884	Provide replacement of the existing heating system, which provides both heat and domestic hot water at 2295 Second Avenue NY, NY.	43,046	On-going	YES	Best Value	Vendor under NYS DHCR competitive contract	3

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HCDC	New York Heating Corporation	5/8/2012	7721	9688	45,982	Provide heating system replacement at 102 West 137th Street.	45,982	Completed	YES	Best Value	Vendor under NYS DHCR competitive contract	6
HCDC	Dun-Rite, Inc.	4/3/2012	7690	9629	44,716	Provide window replacement at 131 West 137th Street.	64,326	Completed	YES	Best Value	Vendor under NYS DHCR competitive contract	3
HCDC	Laser Electrical Contracting, Inc	5/15/2012	7754	9739	40,694	Provide electrical work at 106 West 137th Street.	40,694	Completed	YES	Best Value	MBE - Vendor under NYS DHCR competitive contract	6
HCDC	Laser Electrical Contracting, Inc	5/23/2012	7752	9737	39,342	Provide electrical work at 163 West 136th Street.	39,342	Completed	YES	Best Value	MBE - Vendor under NYS DHCR competitive contract	6
HCDC	Riverdale Electrical Services, Inc	7/15/2012	7870	9943	35,256	Provide electrical work at 301 West 130th Street which will include the removal of old lighting fixtures and the installation of new fluorescent lighting fixtures.	31,645	Completed	YES	Best Value	Vendor under NYS DHCR competitive contract	6
HCDC	W & B Electric Corporation	5/15/2012	7747	9731	23,860	Provide electrical work at 124 West 137th Street.	23,860	Completed	YES	Best Value	MBE - Vendor under NYS DHCR competitive contract	6
HCDC	Riverdale Electrical Services Inc	5/23/2012	7749	9734	17,737	Provide electrical work at 176 West 137th Street.	17,538	Completed	YES	Best Value	Vendor under NYS DHCR competitive contract	6
HCDC	Laser Electrical Contracting, Inc	5/15/2012	7753	9738	16,356	Provide electrical work at 283 West 118th Street.	16,356	Completed	YES	Best Value	MBE - Vendor under NYS DHCR competitive contract	6
TOTAL HCDC:					\$2,265,017		\$1,847,753					
LOWER MANHATTAN DEVELOPMENT CORPORATION												
LMDC	Winston & Strawn LLP	10/16/2012	7918	10050	6,600,000	Provide legal services in connection with 130 Liberty Street, including advise and services in connection with pending or potential claims, disputes and/or litigation relating to the abatement and deconstruction of the building.	1,758,432	On-going	NO	Sole Source		
LMDC	BEM Systems	6/12/2012	7787	9795	15,000	Provide environmental consulting and testing services that are required for construction projects related to LMDC.	0	On-going	YES	Best Value		31

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LMDC	LiRo Engineers, Inc.	5/7/2012	7725	9713	15,000	Provide environmental consulting and testing services that are required for construction projects related to LMDC.	0	On-going	YES	Best Value		31
TOTAL LMDC:					\$6,630,000		\$1,758,432					
MOYNIHAN STATION DEVELOPMENT CORPORATION												
MSDC	Skanska USA Civil Northeast, Inc.	5/25/2012	7833	9873	162,525,000	Provide construction services to build the West End Concourse Expansion.	18,240,377	On-going	YES	Best Value		3
MSDC	National Railroad Passenger Corporation (Amtrak)	5/22/2012	7797	9813	33,000,000	Provide construction on Amtrak property of new passenger station facilities and renovation of existing facilities owned by Amtrak.	4,621,506	On-going	N/A	Sole Source		
MSDC	Thacher Associates, LLC	10/12/2012	7914	10047	2,099,000	Provide the necessary independent oversight of the Construction Contractor(s) and Construction Manager of Phase 1 of the Moynihan Station Project to prevent and detect corruption, fraud, waste and abuse.	0	On-going	YES	Best Value	Company has committed 28.6% (\$600,000) to certified M/WBE firms	3
MSDC	Rosenwach Tank Co LLC	3/22/2013	8133	10460	700,000	Provide replacement for original 24,000 gallon steel water tank plus associated valves, controls and all necessary components to integrate the replacement tank into the existing domestic water and fire systems.	0	On-going	YES	Best Value		3
MSDC	JFD Sales Consulting Services Corporation d/b/a JFD Office Interiors	5/8/2012	7714	9676	45,000	Provide miscellaneous interior work as necessary at the James A Farley Post Office building and Annex.	0	On-going	YES	Best Value	MBE	5
TOTAL MSDC:					\$198,369,000		\$22,861,883					
USA NIAGARA DEVELOPMENT CORPORATION												
USA Niagara	Urban Land Institute	8/23/2012	7858	9925	105,000	Provide advisory services to identify possible reuse/redevelopment scenarios for remaining space in the former Rainbow Centre Mall.	100,000	On-going	NO	Sole Source		
TOTAL USA NIAGARA:					\$105,000		\$100,000					
					\$253,920,831	Contract Totals	\$39,443,978					
					\$69,631,595	Amendment Totals	\$56,838,231					
					\$323,552,426	Grand Totals	\$96,282,209					

Empire State Development
Annual Procurement Contracts (Amendments)
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CO.	VENDOR NAME	AMEND. DATE	CONTRACT NUMBER	ORIGINAL CONTRACT AMOUNT	AMEND AMOUNT	SCOPE OF SERVICES	REVISED CONTRACT AMOUNT	AMOUNT EXPENDED FOR FISCAL YEAR	CURRENT STATUS	CONTRACT REPORTER	COMMENTS	TOTAL OF BIDS
EMPIRE STATE DEVELOPMENT CORPORATION												
ESD	Law Offices of Donald J Tobias 445 Park Avenue 17th Floor New York, NY 10022	1/7/2013	6630	100,000	No-Upset	Provide legal services to ESD with respect to the bankruptcy proceedings for creditor's rights and workout matters, including foreclosure proceedings.	No-Upset	117,384	On-going	N/A	Pre Qualified List	
ESD	Law Offices of Donald J. Tobias 445 Park Avenue New York, NY 10022	6/15/2012	6630	100,000	145,000	Provide legal services to ESD with respect to the bankruptcy proceedings for creditors' rights and workout matters.	750,000	117,384	On-going	N/A	Pre Qualified List	
ESD	BBDO USA LLC 1285 Avenue of the Americas New York, NY 10019	1/9/2013	7506	50,000,000	50,000,000	Continue to provide full service advertisement, marketing, branding, media, and communications agency to promote the "New York State Open for Business."	100,000,000	47,688,928	On-going	N/A	Amendment provided for in original contract	
ESD	AKRF, Inc 440 Park Avenue South New York, NY 10016	9/25/2012	3400	1,500,000	1,663,770	Prepare a Supplemental Environmental Impact Statement to study the potential impacts of a delay in construction of the Project's Phase II.	6,700,000	98,693	On-going	Legally Exempt	Single Source WBE Subcontractor	
ESD	Henningson, Durham, and Richardson 500 Seventh Ave New York, NY 10018	9/17/2012	4869	360,000	500,000	Provide environmental monitoring throughout the construction of the Atlantic Yards Redevelopment Project.	2,195,000	547,417	On-going	N/A	Amendment provided for in original contract	
ESD	Ontario Special Contracting, Inc. 333 Ganson Street Buffalo, NY 14203	10/12/2012	6809	9,561,200	205,070	Provide demolition of a series of buildings at Midtown Plaza in Rochester, NY.	9,766,270	1,761,636	On-going	N/A	Less than 10% of Original Contract	
ESD	BLX Group, LLC 51 West 52nd Street New York, NY 10019	7/6/2012	1889	300,000	200,000	Provide monitoring of current tax regulations and rules and their application to specific bond transactions.	672,000	7,000	On-going	YES	Excluded from MWBE Calculations	5

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ESD	Foley & Ladner LLP 3000 K Street NW Washington, DC 20007	12/21/2012	7859	50,000	150,000	Provide advice and counsel in connection with the lease negotiations for the Ralph Wilson Jr. Stadium.	200,000	130,972	On-going	Legally Exempt	Single Source	
ESD	Bloomberg Finance, LLP 731 Lexington Avenue New York, NY 10022	4/2/2012	58	26,960	51,000	Continue to provide financial analysis and information.	673,518	25,500	On-going	Legally Exempt	Sole Source	
ESD	Jacqueline Dalton 335 85th Street Brooklyn, NY 11209	9/10/2012	7369	47,500	12,500	Provide consultant services to ESDC's Portfolio Management Department to help coordinate, manage, and maintain the Corporation's housing portfolio.	60,000	38,583	On-going	Legally Exempt	Single Source WBE - Not Certified	
ESD	Jacqueline Dalton 335 85th Street Brooklyn, NY 11209	12/26/2012	7369	47,500	30,000	Provide consultant services to ESD's Portfolio Management Department to help coordinate, manage, and maintain the Corporation's housing portfolio.	90,000	38,583	On-going	N/A	Non-Certified WBE(Application under Review)	
ESDC TOTAL:				61,945,660	52,957,340		120,296,788	50,416,113				
<u>HARLEM COMMUNITY DEVELOPMENT CORPORATION</u>												
HCDC	Sive Paget & Riesel PC 460 Park Avenue 10th Floor New York, NY 10022	12/18/2012	5477	250,000	100,000	Provide legal services and counsel to HCDC with respect to the environmental matters related to the Victoria Theater Project.	350,000	71,400	On-going	N/A	Pre Qualified List	
HCDC	The Gibson Law Firm 380 Lexington Avenue 17th Floor New York, NY 10168	7/13/2012	4668	47,500	77,500	Provide legal services to HCDC and act as co-counsel with Phillips Lytle with respect to the redevelopment of the Victoria Theater site.	300,000	25,160	On-going	Legally Exempt	Single Source MBE	
HCDC	Dun-Rite, Inc. 9054 Fort Hamilton Parkway Brooklyn, NY 11209	5/31/2012	7689	128,782	29,650	Provide window replacement and installation at 111 West 141st Street.	158,432	158,432	Completed	N/A	Vendor under NYS DHCR competitive contract	

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HCDC	Dun-Rite, Inc. 9054 Fort Hamilton Parkway Brooklyn, NY 11209	4/10/2012	7690	44,716	19,610	Provide window replacement at 131 West 137th Street.	64,326	64,326	Completed	N/A	Vendor under NYS DHCR competitive contract	
HCDC	Skyline Industries LLC 45-10 Vernon Boulevard Long Island, NY 11101	2/12/2013	7560	275,500	13,900	Provide delivery and installation of windows at 1990 Lexington Ave.	289,400	115,881	On-going	N/A	MBE - Vendor under NYS DHCR competitive	
HCDC	Brooklyn Mechanical HTG Corporation 1429 Mill Avenue Brooklyn, NY 11234	5/11/2012	7720	82,900	5,450	Provide heating system replacement at 111-115 West 141st Street.	88,350	88,350	Completed	N/A	Vendor under NYS DHCR competitive contract	
HCDC	Brooklyn Mechanical Corp. 1429 Mill Avenue Brooklyn, NY 11234	10/18/2012	7231	62,650	1,502	Provide insulation of distribution piping and repainting of the boiler room floor.	64,152	5,469	Completed	N/A	Vendor under NYS DHCR competitive contract	
HCDC	Riverdale Electrical Services Inc 421 Bruckner Blvd Bronx, NY 10455	7/20/2012	7749	10,523	-199	Provide electrical work at 176 West 137th Street which will include the removal of old lighting fixtures and the installation of new fluorescent lighting fixtures.	17,538	17,538	Completed	N/A	Vendor under NYS DHCR competitive contract	
HCDC	Riverdale Electrical Services Inc 421 Bruckner Blvd Bronx, NY 10455	8/27/2012	7870	18,987	-3,611	Provide electrical work at 301 West 130th Street which will include the removal of old lighting fixtures and the installation of new fluorescent lighting fixtures.	31,645	31,645	Completed	N/A	Vendor under NYS DHCR competitive contract	
HCDC	New York Heating Corporation 354 Humboldt Street Brooklyn, NY 11211	3/7/2013	8028	76,500	-21,800	Provide removal and installation of a new boiler system at 273 West 131st Street.	54,700	49,230	Completed	N/A	Vendor under NYS DHCR competitive contract	
HCDC TOTAL:				998,058	222,002		1,418,543	627,431				
LOWER MANHATTAN DEVELOPMENT CORPORATION												
LMDC	Dewey and LeBoeuf LLP 1301 Avenue of the Americas New York, NY 10019	4/10/2012	6323	250,000	5,000,000	Continue to provide counsel in claims, disputes, and litigation arising from the deconstruction of LMDC's 130 Liberty Street.	8,500,000	0	Terminated	Legally Exempt	Single Source	
LMDC	Hellman Electric Corporation 855 Brush Avenue Bronx, NY 10465	5/25/2012	4585	5,994,620	487,767	Provide upgrades to the ITS system before its management and maintenance is transferred to New York City and to provide servicing during the transition.	6,482,387	488,374	Completed	Legally Exempt	Single Source	

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LMDC	Carter, Ledyard, & Milburn LLP 2 Wall Street New York, NY 10005	6/7/2012	5833	500,000	275,000	Provide real estate and land use, environmental matters, condemnation and related litigation with respect to the Community and Cultural Enhancement Program.	640,000	277,220	On-going	N/A	Pre Qualified List	
LMDC	Carter Ledyard and Milburn LLP 2 Wall Street New York, NY 10005	3/18/2013	5833	500,000	150,000	Provide real estate and land use, environmental matters, condemnation and related litigation with respect to the Community and Cultural Enhancement Program.	790,000	277,220	On-going	N/A	Pre Qualified List	
LMDC	Duane Morris 1540 Broadway New York, NY 10036	4/3/2012	5772	500,000	175,000	Continue to provide legal advice and services related to 130 Liberty Street for an extended term through December 31, 2012.	1,175,000	1,326	On-going	N/A	Pre Qualified List	
LMDC	Toski, Schaefer & Co., PC 300 Essjay Road Suite 115 Williamsville, NY 14221	7/2/2012	5812	195,000	160,000	Provide financial and compliance audits for fiscal years 2012, 2013, 2014 and 2015.	415,000	24,000	On-going	YES		8
LMDC	Inventus, LLC 2 Rector Street, 9th Floor New York, NY 10006	5/29/2012	5113	35,000	150,000	Continue to provide document management and related services, given the pending litigation concerning 130 Liberty Street.	1,500,000	2,460	On-going	Legally Exempt	Single Source	
LMDC	PB Americas, Inc. 555 17th Street Denver, CO 80202	6/12/2012	5975	112,000	106,000	Continue to provide website hosting, maintenance and support to keep the workers, residents, visitors and stakeholders aware of the construction in Lower Manhattan.	272,000	15,828	On-going	N/A	Amendment within original RFP 3 year term	
LMDC	Venable LLP 575 7th Street NW Washington, DC 20004	3/18/2013	5405	15,000	100,000	Continue to provide legal services related to environmental and insurance matters for an extended term through December 31, 2013.	325,000	0	On-going	N/A	Pre Qualified List	
LMDC	Something Digital 9 East 38th Street New York, NY 10016	4/26/2012	5978	109,840	92,000	Continue to provide website hosting, maintenance and support to keep the workers, residents, visitors and stakeholders aware of the construction in Lower Manhattan.	241,760	54,920	On-going	N/A	Amendment within original RFP 3 year term	

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LMDC	Venable LLP 575 7th Street NW, Washington DC, 20004	4/3/2012	5405	15,000	75,000	Continue to provide legal services related to environmental and insurance matters for an extended term through December 31, 2012.	225,000	0	On-going	N/A	Pre Qualified List	
LMDC	Miller Advertising Agency, Inc. 71 Fifth Avenue New York, NY 10003	12/5/2012	6257	200,000	60,000	Provide an open and transparent public process by advertising ad buys in newspapers and magazines.	260,000	14,420	On-going	YES		4
LMDC	Faithful and Gould 100 Canal Pointe Blvd Suite 212 Princeton, NJ 08540	7/25/2012	6115	500,000	-58,415	Provide cost estimating, scheduling, construction feasibility and pre-construction services in connection with the various projects in Lower Manhattan.	441,585	0	On-going	N/A	Amendment within original RFP 3 year term	
LMDC	Dechert LLP 1095 Avenue of the Americas New York, NY 10036-6797	8/16/2012	4903	8,000,000	-100,000	Provide legal services in connection with requests for documents, meetings as well as any consultations needed in connection with the 130 Liberty Street civil litigation.	7,900,000	0	On-going	Legally Exempt	Single Source	
LMDC	URS Corporation-New York One Penn Plaza Suite 610 New York, NY 10119	4/16/2012	2857	1,880,000	-350,000	Continue to provide construction management and owner's representation services due to delays in the projects closeout.	21,857,461	0	On-going	Legally Exempt	Single Source	
LMDC	TRC Engineers 1430 Broadway 10th Floor New York, NY 10118	10/16/2012	2706	33,844,675	-875,000	Continue to provide environmental testing and consulting services relating to 130 Liberty Street.	32,969,675	0	On-going	Legally Exempt	Single Source	
LMDC TOTAL:				52,151,135	5,447,352		83,354,868	878,548				
MOYNIHAN STATION DEVELOPMENT CORPORATION												
MSDC	Skidmore, Owings and Merrill LLP 14 Wall Street 24th Floor New York, NY 10005	8/20/2012	7273	5,818,720	5,747,500	Provide construction phase services for the Moynihan Station Phase 1 West End Concourse Expansion project.	12,864,430	2,419,074	On-going	Legally Exempt	Single Source	

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MSDC	Skidmore Owings & Merrill LLP 14 Wall Street New York, NY 10005	12/21/2012	7273	5,818,720	522,500	Provide re-packaging of the plans for the 33rd Street Connector.	13,386,930	2,419,074	On-going	Legally Exempt	Single Source	
MSDC	Systra Engineering, Inc 520 Eighth Avenue Suite 2100 New York, NY 10018	8/20/2012	7112	1,450,312	1,926,000	Provide design and construction phase services for the Moynihan Station Phase 1 West End Concourse Expansion project.	3,726,000	535,820	On-going	Legally Exempt	Single Source	
MSDC	Systra Engineering, Inc. 520 Eighth Avenue Suite 2100 New York, NY 10018	12/21/2012	7112	1,450,312	495,000	Provide platform ventilation system plans and specifications to complete the structural work at the Penn Station train shed.	4,221,000	535,820	On-going	Legally Exempt	Single Source	
MSDC	PB Americas, Inc. One Penn Plaza Suite 200 New York, NY 10119	12/21/2012	7021	1,573,250	1,265,000	Provide platform ventilation system plans and specifications to complete the structural work at the Penn Station train shed.	3,597,500	454,019	On-going	Legally Exempt	Single Source	
MSDC	PB Americas, Inc Church Street Station PO Box 6241 New York, NY 10249	8/20/2012	7021	1,573,250	532,500	Provide design, cost estimation, and construction phase services for the Moynihan Station Phase 1 West End Concourse Expansion project.	2,332,500	454,019	On-going	Legally Exempt	Single Source	
MSDC	Jerome Haims Realty Inc 630 Third Avenue 22nd Floor New York, NY 10017	3/14/2013	4819	81,400	28,600	Provide valuation services and update prior appraisals of the Farley Building and its associated transferable development rights in connection with a rollover of the mortgage.	285,000	55,800	On-going	Legally Exempt	Single Source	
MSDC Total:				8,923,682	10,517,100		21,490,430	3,464,713				
USA NIAGARA												
USA Niagara	Global Spectrum L.P. 3601 S. Broad Street Philadelphia, PA 19148	12/5/2012	5631	442,458	359,400	Provide additional Capital funding to cover all capital costs for CCNF through 2012.	2,654,193	708,697	On-going	N/A		
USA Niagara	Global Spectrum L.P. 3601 S. Broad Street Philadelphia, PA 19148	12/5/2012	6418	642,692	123,200	Provide additional Capital funding to cover all capital costs for Old Falls Street through 2012.	2,093,328	737,529	On-going	N/A		
USA Niagara	CCG Holdings, LLC 205 West Randolph Suite 1650 Chicago, IL 60606	9/11/2012	6836	200,000	5,200	Provide economic and market analysis to USA Niagara on the best approaches to take to increase economic growth.	205,200	5,200	Completed	N/A	Less than 10% of total contract	
USAN TOTAL:				1,285,150	487,800		4,952,721	1,451,426				
GRAND TOTAL:				125,303,685	69,631,595		231,513,351	56,838,231				