

FOR CONSIDERATION

June 24, 2010

TO: The Directors

FROM: Dennis M. Mullen

SUBJECT: Statewide – Restore New York Communities – Capital Grants

REQUEST FOR: Land Use Improvement Findings and Determinations Pursuant to Sections 10 (c), 10(g) and 16-n of the Act; Authorization to Adopt the Proposed General Project Plans; Authorization to Make Grants and to Take Related Actions; Determinations of No Significant Effect on the Environment; Adoption of Findings Pursuant to the State Environmental Quality Review Act

General Project Plan

I. Project Summary

	Grantee	Project Name	Proj #	Grant	Village, Town, City	County
A.	City of Rochester	Rochester - RESTORE III – Development Site Clearance	W868	\$3,500,000	City of Rochester	Monroe
B.	City of Plattsburgh	Plattsburgh – RESTORE III – Imperial Industrial Park	W796	\$2,500,000	City of Plattsburgh	Clinton
C.	City of Poughkeepsie	Poughkeepsie – RESTORE III Academy Street	W819	\$2,395,000	City of Poughkeepsie	Dutchess
D.	City of Rome	Rome – RESTORE III – BOA Implementation	W864	\$1,690,000	City of Rome	Oneida
E.	City of Corning	Corning – RESTORE III – East End Redevelopment	W856	\$1,470,000	City of Corning	Steuben
F.	City of Rensselaer	Rensselaer - RESTORE II - Riverfront Redevelopment	W079	\$1,400,000	City of Rensselaer	Rensselaer
G.	City of Syracuse	Syracuse – RESTORE III – The Connective Corridor: Building Upwards	W828	\$1,300,000	City of Syracuse	Onondaga
H.	Town of Stamford	Stamford – RESTORE III – Main Street Revitalization	W800	\$865,512	Town of Stamford	Delaware

I.	Village of Montour Falls	Montour Falls – RESTORE III – Rural Urban Center	W815	\$766,500	Village of Montour Falls	Schuyler
J.	Village of Carthage	Carthage – RESTORE III – Carthage Medical Office	W834	\$579,136	Village of Carthage	Jefferson
K.	City of Glens Falls	Glens Falls – RESTORE III – Empire Square	W859	\$500,000	City of Glens Falls	Warren
L.	Village of New Berlin	New Berlin – RESTORE III – Downtown Revitalization	W855	\$160,000	Village of New Berlin	Chenango
		TOTAL		\$17,126,148		

II. Program Description

A. Background

In the 2006-07 and 2007-08 enacted New York State Budgets, ESD received a \$300 million appropriation for the Restore New York’s Communities initiative (“Restore NY” or the “Program”), which was allocated as follows: up to \$50 million in FY 06-07, \$100 million in FY 07-08, and \$150 million in FY 08-09. The purpose of the Program is to revitalize urban areas and stabilize neighborhoods as a means to attract residents and businesses. Restore NY funds municipally sponsored projects for the demolition, deconstruction, rehabilitation, or reconstruction of vacant, obsolete or surplus structures.

On October 27, 2006, the successful Restore NY Round 1 award winners were announced, and included 79 different projects in 55 localities. The range of selected projects is large and diverse; and to the extent possible, funding was awarded in a geographically proportionate manner. Special consideration was given to projects located in Brownfield Opportunity Areas and Empire Zones; those affected by flooding in June 2006; and communities with severe economic distress or dislocation.

The 64 Restore NY Round 2 award winners were announced on January 15, 2008. Projects were chosen with the intent of connecting community initiatives with economic development goals to serve as catalysts for future development and growth. Priority was given to those projects that would serve to revitalize urban cores, leverage private investment and bring future business expansion to New York’s communities.

On September 2, 2009, Governor David A. Paterson announced the award of 79 projects for Restore NY Round 3. These projects span across the New York State in 74 localities as part of the continued effort to revitalize urban areas, stabilize neighborhoods and invite renewed investment in economically distressed communities.

B. The Projects

ESD will make grants to the Grantees, pending passage of the New York State budget, for the purpose of enhancing the Grantees’ capacity to provide support in revitalizing urban areas and stabilizing neighborhoods as a means to attract residents and businesses in New York State. ESD

will enter into an agreement with each Grantee that will stipulate the manner in which funds will be disbursed.

The attached project schedules provide more detailed descriptions of each recommended project.

III. Statutory Basis

Restore New York Communities Findings:
Land Use Improvement Projects

1. The area in which the project is to be located is a substandard or unsanitary area, or is in danger of becoming a substandard or unsanitary area and tends to impair or arrest sound growth and development of the municipality.
See attached Project Schedules.
2. The project consists of a plan or undertaking for the clearance, replanning, reconstruction and rehabilitation of such area and for recreational and other facilities incidental or appurtenant thereto.
See attached Project Schedules.
3. The plan or undertaking affords maximum opportunity for participation by private enterprise, consistent with the sound needs of the municipality as a whole.
See attached Project Schedules.
4. There are no families or individuals displaced from the Project area.
No residential relocation is required because there are no families or individuals residing on the sites.

IV. Environmental Review

Unless otherwise noted on a project summary, ESD staff has determined that the projects described in Schedule A constitute Type II actions as defined by the New York State Environmental Quality Review Act (“SEQRA”) and the implementing regulations for the New York State Department of Environmental Conservation. No further environmental review is required in connection with the projects.

V. Affirmative Action

ESD’s Non-Discrimination and Affirmative Action policy will apply unless otherwise noted on the project schedule. The Grantees are encouraged to include minorities and women in any job opportunities created by the projects, and to solicit and utilize Minority and Women-owned Business Enterprises for any contractual opportunities generated in connection with the projects.

VI. ESD Employment Enforcement Policy

ESD’s Employment Enforcement Policy will not apply since the projects will not directly

create or retain jobs.

VII. ESD Financial Assistance Subject to Availability of Funds and Additional Approval

The provision of ESD financial assistance is contingent upon the availability of funds and the approval of the State Division of the Budget.

VIII. Additional Submissions to Directors

Map

Resolutions

Project Summaries (12)

June 24, 2010

Statewide – Restore NY Communities – Capital Grants – Land Use Improvement Findings and Determinations Pursuant to Sections 10 (c), 10(g) and 16-n of the Act; Authorization to Adopt the Proposed General Project Plans; Authorization to Make Grants and to Take Related Actions

RESOLVED, that on the basis of the materials presented to this meeting, a copy of which is hereby ordered filed with the records of the Corporation, relating to the Restore NY Communities Capital Grant Projects (the “Projects”), the Corporation hereby determines pursuant to Sections 16-n and 10 of the New York State Urban Development Corporation Act of 1968, as amended (the “Act”), that

1. The area in which the project is to be located is a substandard or unsanitary area, or is in danger of becoming a substandard or unsanitary area and tends to impair or arrest sound growth and development of the municipality.
2. The project consists of a plan or undertaking for the clearance, replanning, reconstruction and rehabilitation of such area and for recreational and other facilities incidental or appurtenant thereto.
3. The plan or undertaking affords maximum opportunity for participation by private enterprise, consistent with the sound needs of the municipality as a whole.
4. There are no families or individuals displaced from the Project area; and be it further

RESOLVED, that the Corporation does hereby adopt, subject to the requirements of Section 16(2) of the Act, the proposed General Project Plans (the “Plans”) for the Projects submitted to this meeting, together with such changes therein as the Chairman and Chief Executive Officer of the Corporation or his designee(s) may deem appropriate, a copy of which Plans, together with such changes, are hereby ordered filed with the records of the Corporation; and be it further

RESOLVED, that upon written findings of the Chairman and Chief Executive Officer of the Corporation or his designee(s) that no substantive negative testimony or comment has been received at the public hearings held on the Plans, such Plans shall be effective at the conclusion of such hearings, and that upon such written findings being made, the Chairman and Chief Executive Officer of the Corporation or his designee(s) be, and each of them hereby is, authorized to make to grants to the parties and for the amounts listed below from Restore NY Communities, for the purposes, and substantially on the terms and conditions, set forth in the materials presented to this meeting, with such changes as the Chairman and Chief Executive Officer of the Corporation or his designee(s) may deem appropriate, subject to the availability of funds and the approval of the State Division of the Budget; and be it further

RESOLVED, that the Chairman and Chief Executive Officer of the Corporation or his designee(s) be, and each of them hereby is, authorized to make grants to the parties and for the amount listed below from Restore NY Communities, for the purposes, and substantially on the terms and

conditions, set forth in the materials presented to this meeting, with such changes as the Chairman and Chief Executive Officer of the Corporation or his designee(s) may deem appropriate, subject to the availability of funds and the approval of the State Division of the Budget; and be it further

RESOLVED, that the Chairman and Chief Executive Officer of the Corporation or his designee(s) be, subsequent to the making of the grants, and each of them hereby is, authorized to take such actions and make such modifications to the terms of the grants as he or she may deem necessary or appropriate in the administration of the grants; and be it further

RESOLVED, that the provision of ESD financial assistance is expressly contingent upon: (1) the approval of the Public Authorities Control Board, if applicable, and (2) receipt of all other necessary approvals; and be it further

Restore NY Communities – Project Summary Table

	Project Name	Proj #	Grantee	Assistance up to
	Restore NY Communities Projects			
A.	Rochester - RESTORE III – Development Site Clearance	W868	City of Rochester	\$3,500,000
B.	Plattsburgh – RESTORE III – Imperial Industrial Park	W796	City of Plattsburgh	\$2,500,000
C.	Poughkeepsie – RESTORE III Academy Street	W819	City of Poughkeepsie	\$2,395,000
D.	Rome – RESTORE III – BOA Implementation	W864	City of Rome	\$1,690,000
E.	Corning – RESTORE III – East End Redevelopment	W856	City of Corning	\$1,470,000
F.	Rensselaer - RESTORE II - Riverfront Redevelopment	W079	City of Rensselaer	\$1,400,000
G.	Syracuse – RESTORE III – The Connective Corridor: Building Upwards	W828	City of Syracuse	\$1,300,000
H.	Stamford – RESTORE III – Main Street Revitalization	W800	Town of Stamford	\$865,512
I.	Montour Falls – RESTORE III – Rural Urban Center	W815	Village of Montour Falls	\$766,500
J.	Carthage – RESTORE III – Carthage Medical Office	W834	Village of Carthage	\$579,136
K.	Glens Falls – RESTORE III – Empire Square	W859	City of Glens Falls	\$500,000
L.	New Berlin – RESTORE III – Downtown Revitalization	W855	Village of New Berlin	\$160,000
			TOTAL	\$17,126,148

RESOLVED, that the Chairman and Chief Executive Officer of the Corporation or his designee(s) be, and each of them hereby is, authorized in the name and on behalf of the Corporation to execute and deliver any and all documents and to take all actions as he or she may in his or her sole discretion consider to be necessary or proper to effectuate the foregoing resolutions.

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